

Tecentriq PI version 5

The content of this leaflet was approved by the Ministry of Health in July 2019

# Tecentriq<sup>®</sup>



**Atezolizumab**

Concentrate for solution for infusion

---

## NAME OF THE MEDICINAL PRODUCT

Tecentriq

## QUALITATIVE AND QUANTITATIVE COMPOSITION

One vial of 20 mL concentrate contains 1,200 mg atezolizumab, corresponding to a concentration before dilution of 60 mg/mL.

For dilution and other handling recommendations, see section 2.3.

For the full list of excipients, see section 11.

## PHARMACEUTICAL FORM

Concentrate for solution for infusion (sterile concentrate).

Clear, colourless to slightly yellowish liquid.

## CLINICAL PARTICULARS

### Patient safety information card and brochure

The marketing of TECENTRIQ is subject to a risk management plan (RMP) including patient safety information materials (patient information card and patient brochure). These materials emphasize important safety information that the patient should be aware of before and during treatment. Please explain to the patient the need to review these materials before starting treatment.

### Prescriber guide

This product is marketed with prescriber guide providing important safety information. Please ensure you are familiar with this material as it contains important safety information.

## 1 INDICATIONS AND USAGE

### 1.1 Locally Advanced or Metastatic Urothelial Carcinoma

- TECENTRIQ (atezolizumab) is indicated for the treatment of patients with locally advanced or metastatic urothelial carcinoma who are not eligible for cisplatin-containing chemotherapy and whose tumours have a PD-L1 expression  $\geq 5\%$ .
- TECENTRIQ is indicated for the treatment of patients with locally advanced or metastatic urothelial carcinoma who have disease progression during or following any platinum-containing chemotherapy, or within 12 months of neoadjuvant or adjuvant chemotherapy.

## 1.2 Non-Small Cell Lung Cancer

- TECENTRIQ, in combination with bevacizumab, paclitaxel, and carboplatin, is indicated for the first-line treatment of adult patients with metastatic non-squamous non-small cell lung cancer (NSCLC). In patients with EGFR mutant or ALK-positive NSCLC, TECENTRIQ, in combination with bevacizumab, paclitaxel, and carboplatin, is indicated only after failure of appropriate targeted therapies.
- TECENTRIQ is indicated for the treatment of patients with metastatic NSCLC who are naïve to anti-PD-L1 or anti-PD-1 therapies and have disease progression during or following platinum-containing chemotherapy. Patients with EGFR or ALK genomic tumor aberrations should have disease progression on approved therapy for NSCLC harboring these aberrations prior to receiving TECENTRIQ.

## 1.3 Locally Advanced or Metastatic Triple-Negative Breast Cancer

TECENTRIQ, in combination with nab-paclitaxel, is indicated for the treatment of patients with unresectable locally advanced or metastatic triple-negative breast cancer (TNBC) whose tumors have PD-L1 expression  $\geq 1\%$  and who have not received prior chemotherapy for metastatic disease.

## 1.4 Small Cell Lung Cancer

TECENTRIQ, in combination with carboplatin and etoposide, is indicated for the first-line treatment of adult patients with extensive-stage small cell lung cancer (ES-SCLC).

## 2 DOSAGE AND ADMINISTRATION

### 2.1 Patient Selection for Treatment of Urothelial Carcinoma and Triple-Negative Breast Cancer

Select cisplatin-ineligible patients with previously untreated locally advanced or metastatic urothelial carcinoma for treatment with TECENTRIQ based on the PD-L1 expression on tumor-infiltrating immune cells [*see Clinical Studies (14.1)*].

Select patients with locally advanced or metastatic triple-negative breast cancer for treatment with TECENTRIQ in combination with paclitaxel protein-bound based on the PD-L1 expression on tumor infiltrating immune cells [*see Clinical Studies (14.3)*].

### 2.2 Recommended Dosage for Urothelial Carcinoma

The recommended dosage of TECENTRIQ is 1200 mg as an intravenous infusion over 60 minutes every 3 weeks until disease progression or unacceptable toxicity. If the first infusion is tolerated, all subsequent infusions may be delivered over 30 minutes.

### 2.3 Recommended Dosage for NSCLC

During the induction phase, the recommended dose of TECENTRIQ is 1,200 mg administered by intravenous infusion, followed by bevacizumab, paclitaxel, and then carboplatin every three weeks for four or six cycles.

Administer TECENTRIQ prior to chemotherapy or other antineoplastic drugs when given on the same day. Refer to the Prescribing Information for the chemotherapy agents or other antineoplastic drugs administered in combination with TECENTRIQ for recommended dosing information.

The induction phase is followed by a maintenance phase without chemotherapy in which 1,200 mg TECENTRIQ followed by bevacizumab, is administered by intravenous infusion every three weeks until disease progression or unacceptable toxicity.

Administer the initial infusion of TECENTRIQ over 60 minutes. If the first infusion is tolerated, all subsequent infusions may be delivered over 30 minutes.

## 2.4 Recommended Dosage for Locally Advanced or Metastatic TNBC

The recommended dosage of TECENTRIQ is 840 mg administered as an intravenous infusion over 60 minutes, followed by 100 mg/m<sup>2</sup> paclitaxel protein-bound.

For each 28 day cycle, TECENTRIQ is administered on days 1 and 15, and paclitaxel protein-bound is administered on days 1, 8, and 15 until disease progression or unacceptable toxicity.

TECENTRIQ and paclitaxel protein-bound may be discontinued for toxicity independently of each other.

If the first infusion is tolerated, all subsequent infusions may be delivered over 30 minutes. See also the prescribing information for paclitaxel protein-bound prior to initiation.

## 2.5 Recommended Dosage for SCLC

The recommended dosage of TECENTRIQ is 1200 mg intravenously every 3 weeks, when administered in combination with carboplatin and etoposide, until disease progression or unacceptable toxicity. Administer TECENTRIQ prior to chemotherapy when given on the same day.

Refer to the Prescribing Information for the chemotherapy agents administered in combination with TECENTRIQ for recommended dosing information.

Following completion of 4 cycles of carboplatin and etoposide, the recommended dosage of TECENTRIQ is 1200 mg every 3 weeks administered intravenously until disease progression or unacceptable toxicity.

Administer the initial infusion of TECENTRIQ over 60 minutes. If the first infusion is tolerated, all subsequent infusions may be delivered over 30 minutes.

## 2.6 Dosage Modifications for Adverse Reactions

No dose reductions of TECENTRIQ are recommended. Recommendations for dosage modifications are provided in Table 1.

**Table 1: Recommended Dosage Modifications for Adverse Reactions**

Adverse Reaction	Severity of Adverse Reaction <sup>1</sup>	Dosage Modifications
Pneumonitis [ <i>see Warnings and Precautions (5.1)</i> ]	Grade 2	Withhold dose until Grade 1 or resolved and corticosteroid dose is less than or equal to prednisone 10 mg per day (or equivalent)
	Grade 3 or 4	Permanently discontinue

<b>Adverse Reaction</b>	<b>Severity of Adverse Reaction<sup>1</sup></b>	<b>Dosage Modifications</b>
Hepatitis [ <i>see Warnings and Precautions (5.2)</i> ]	AST or ALT more than 3 and up to 8 times the upper limit of normal or total bilirubin more than 1.5 and up to 3 times the upper limit of normal	Withhold dose until Grade 1 or resolved and corticosteroid dose is less than or equal to prednisone 10 mg per day (or equivalent)
	AST or ALT more than 8 times the upper limit of normal or total bilirubin more than 3 times the upper limit of normal	Permanently discontinue
Colitis or diarrhea [ <i>see Warnings and Precautions (5.3)</i> ]	Grade 2 or 3	Withhold dose until Grade 1 or resolved and corticosteroid dose is less than or equal to prednisone 10 mg per day (or equivalent)
	Grade 4	Permanently discontinue
Endocrinopathies (including but not limited to hypophysitis, adrenal insufficiency, hyperthyroidism, and type 1 diabetes mellitus) [ <i>see Warnings and Precautions (5.4)</i> ]	Grade 2, 3, or 4	Withhold dose until Grade 1 or resolved and clinically stable on hormone replacement therapy.
Other immune-mediated adverse reactions involving a major organ [ <i>see Warnings and Precautions (5.5)</i> ]	Grade 3	Withhold dose until Grade 1 or resolved and corticosteroid dose is less than or equal to prednisone 10 mg per day (or equivalent)
	Grade 4	Permanently discontinue
Infections [ <i>see Warnings and Precautions (5.6)</i> ]	Grade 3 or 4	Withhold dose until Grade 1 or resolved
Infusion-Related Reactions [ <i>see Warnings and Precautions (5.7)</i> ]	Grade 1 or 2	Interrupt or slow the rate of infusion
	Grade 3 or 4	Permanently discontinue
Persistent Grade 2 or 3 adverse reaction (excluding endocrinopathies)	Grade 2 or 3 adverse reaction that does not recover to Grade 0 or 1 within 12 weeks after last TECENTRIQ dose	Permanently discontinue
Inability to taper corticosteroid	Inability to reduce to less than or equal to prednisone 10 mg per day (or equivalent) within 12 weeks after last TECENTRIQ dose	Permanently discontinue

<b>Adverse Reaction</b>	<b>Severity of Adverse Reaction<sup>1</sup></b>	<b>Dosage Modifications</b>
Recurrent Grade 3 or 4 adverse reaction	Recurrent Grade 3 or 4 (severe or life-threatening) adverse reaction	Permanently discontinue

<sup>1</sup> National Cancer Institute Common Terminology Criteria for Adverse Events (NCI CTCAE) version 4.0

## **2.7 Preparation and Administration**

### Preparation

Visually inspect drug product for particulate matter and discoloration prior to administration, whenever solution and container permit. Discard the vial if the solution is cloudy, discolored, or visible particles are observed. Do not shake the vial.

Prepare the solution for infusion as follows:

- Select the appropriate vial(s) based on the prescribed dose.
- Withdraw the required volume of TECENTRIQ from the vial(s).
- Dilute into a 250 mL polyvinyl chloride (PVC), polyethylene (PE), or polyolefin (PO) infusion bag containing 0.9% Sodium Chloride Injection, USP.
- Dilute with only 0.9% Sodium Chloride Injection
- Mix diluted solution by gentle inversion. Do not shake.
- Discard used or empty vials of TECENTRIQ.

### Storage of Infusion Solution

This product does not contain a preservative.

Administer immediately once prepared. If diluted TECENTRIQ infusion solution is not used immediately, store solution either:

- At ambient temperature ( $\leq 25^{\circ}\text{C}$ ) for no more than 8 hours from the time of preparation. This includes room temperature storage of the infusion in the infusion bag and time for administration of the infusion, or
- Under refrigeration at  $2^{\circ}\text{C}$ – $8^{\circ}\text{C}$  for no more than 24 hours from time of preparation.
  - From a microbiological point of view, the prepared solution for infusion should be used immediately. If not used immediately, in-use storage times and conditions prior to use are the responsibility of the user and would normally not be longer than 24 hours at  $2^{\circ}\text{C}$  to  $8^{\circ}\text{C}$  or 8 hours at ambient temperature ( $\leq 25^{\circ}\text{C}$ ).

Do not freeze.

Do not shake.

### Administration

Administer the initial infusion over 60 minutes through an intravenous line with or without a sterile, non-pyrogenic, low-protein binding in-line filter (pore size of 0.2–0.22 micron). If the first infusion is tolerated, all subsequent infusions may be delivered over 30 minutes.

Do not coadminister other drugs through the same intravenous line.

Do not administer as an intravenous push or bolus.

### **3 DOSAGE FORMS AND STRENGTHS**

Injection: 1200 mg/20 mL (60 mg/mL) colorless to slightly yellow solution in a single-dose vial.

### **4 CONTRAINDICATIONS**

Hypersensitivity to the active substance or to any of the excipients.

### **5 WARNINGS AND PRECAUTIONS**

#### **5.1 Immune-Mediated Pneumonitis**

TECENTRIQ can cause immune-mediated pneumonitis or interstitial lung disease, defined as requiring use of systemic corticosteroids, including fatal cases. Monitor patients for signs and symptoms of pneumonitis. Evaluate patients with suspected pneumonitis with radiographic imaging. Administer corticosteroids, prednisone 1–2 mg/kg/day or equivalents, followed by a taper for Grade 2 or higher pneumonitis. Withhold or permanently discontinue TECENTRIQ based on the severity [*see Dosage and Administration (2.6)*].

In clinical studies enrolling 2616 patients with various cancers who received TECENTRIQ as a single-agent [*see Adverse Reactions (6.1)*], pneumonitis occurred in 2.5% of patients, including Grade 3 (0.6%), Grade 4 (0.1%), and Grade 5 (< 0.1%) immune-mediated pneumonitis. The median time to onset of pneumonitis was 3.6 months (3 days to 20.5 months) and median duration of pneumonitis was 1.4 months (1 day to 15.1 months). Pneumonitis resolved in 67% of patients. Pneumonitis led to discontinuation of TECENTRIQ in 0.4% of the 2616 patients. Systemic corticosteroids were required in 1.5% of patients, including 0.8% who received high-dose corticosteroids (prednisone  $\geq$  40 mg per day or equivalent) for a median duration of 4 days (1 day to 45 days) followed by a corticosteroid taper.

In clinical studies enrolling 2421 patients with NSCLC and SCLC who received TECENTRIQ in combination with platinum-based chemotherapy [*see Adverse Reactions (6.1)*], immune-mediated pneumonitis occurred in 5.5% of patients, including Grades 3-4 in 1.4% of patients. Systemic corticosteroids were required in 4.2% of patients, including 3.1% who received high-dose corticosteroids (prednisone  $\geq$  40 mg per day or equivalent) for a median duration of 5 days (1 day to 98 days) followed by a corticosteroid taper.

#### **5.2 Immune-Mediated Hepatitis**

TECENTRIQ can cause liver test abnormalities and immune-mediated hepatitis, defined as requiring use of systemic corticosteroids. Fatal cases have been reported. Monitor patients for signs and symptoms of hepatitis, during and after discontinuation of TECENTRIQ, including clinical chemistry monitoring. Administer corticosteroids, prednisone 1–2 mg/kg/day or equivalents, followed by a taper for Grade 2 or higher elevations of ALT, AST and/or total bilirubin. Interrupt or permanently discontinue TECENTRIQ based on the severity [*see Dosage and Administration (2.6)*].

In clinical studies enrolling 2616 patients with various cancers who received TECENTRIQ as a single-agent [*see Adverse Reactions (6.1)*], hepatitis occurred in 9% of patients, including Grade 3 (2.3%), Grade 4 (0.6%), and Grade 5 (< 0.1%). The median time to onset of hepatitis was 1.4 months (1 day to 25.8 months) and median duration was 24 days (1 day to 13 months). Hepatitis resolved in 71% of patients. Hepatitis led to discontinuation of TECENTRIQ in 0.4% of 2616 patients. Systemic corticosteroids were required in 2% of the patients, with 1.3% requiring high-dose corticosteroids (prednisone  $\geq$  40 mg per day or equivalent) for a median duration of 3 days (1 day to 35 days) followed by a corticosteroid taper.

In clinical studies enrolling 2421 patients with NSCLC and SCLC who received TECENTRIQ in combination with platinum-based chemotherapy [see *Adverse Reactions (6.1)*], immune-mediated hepatitis occurred in 14% of patients, including Grades 3-4 in 4.1% of patients. Systemic corticosteroids were required in 4.8% of patients, including 3.4% who received high-dose corticosteroids (prednisone  $\geq$  40 mg per day or equivalent) for a median duration of 6 days (1 day to 144 days) followed by a corticosteroid taper.

### **5.3 Immune-Mediated Colitis**

TECENTRIQ can cause immune-mediated colitis or diarrhea, defined as requiring use of systemic corticosteroids. Monitor patients for signs and symptoms of diarrhea or colitis. Withhold treatment with TECENTRIQ for Grade 2 or 3 diarrhea or colitis. If symptoms persist for longer than 5 days or recur, administer corticosteroids, prednisone 1–2 mg/kg/day or equivalents, followed by a taper for Grade 2 diarrhea or colitis. Interrupt or permanently discontinue TECENTRIQ based on the severity [see *Dosage and Administration (2.6)* and *Adverse Reactions (6.1)*].

In clinical studies enrolling 2616 patients with various cancers who received TECENTRIQ as a single-agent [see *Adverse Reactions (6.1)*], diarrhea or colitis occurred in 20% of patients, including Grade 3 (1.4%) events. The median time to onset of diarrhea or colitis was 1.5 months (1 day to 41 months). Diarrhea and colitis resolved in 85% of the patients. Diarrhea or colitis led to discontinuation of TECENTRIQ in 0.2% of 2616 patients. Systemic corticosteroids were required in 1.1% of patients and high-dose corticosteroids (prednisone  $\geq$  40 mg per day or equivalent) was required in 0.4% patients with a median duration of 3 days (1 day to 11 days) followed by a corticosteroid taper.

In clinical studies enrolling 2421 patients with NSCLC and SCLC who received TECENTRIQ in combination with platinum-based chemotherapy [see *Adverse Reactions (6.1)*], diarrhea or colitis occurred in 29% of patients, including Grade 3-4 in 4.3% of patients. Systemic corticosteroids were required in 4.7% of patients, including 2.9% who received high-dose corticosteroids (prednisone  $\geq$  40 mg per day or equivalent) for a median duration of 4 days (1 day to 170 days) followed by a corticosteroid taper.

### **5.4 Immune-Mediated Endocrinopathies**

TECENTRIQ can cause immune-mediated endocrinopathies, including thyroid disorders, adrenal insufficiency, and type 1 diabetes mellitus, including diabetic ketoacidosis, and hypophysitis/hypopituitarism.

*Thyroid Disorders:* Monitor thyroid function prior to and periodically during treatment with TECENTRIQ. Initiate hormone replacement therapy or medical management of hyperthyroidism as clinically indicated. Continue TECENTRIQ for hypothyroidism and interrupt for hyperthyroidism based on the severity [see *Dosage and Administration (2.6)*].

In clinical studies enrolling 2616 patients who received TECENTRIQ as a single-agent [see *Adverse Reactions (6.1)*], hypothyroidism occurred in 4.6% of patients, and 3.8% of patients required the use of hormone replacement therapy. Hyperthyroidism occurred in 1.6% of patients. One patient experienced acute thyroiditis.

In clinical studies enrolling 2421 patients with NSCLC and SCLC who received TECENTRIQ in combination with platinum-based chemotherapy [see *Adverse Reactions (6.1)*], hypothyroidism occurred in 11% of patients, including Grades 3-4 in 0.3% of patients; 8.2% of the 2421 patients required the use of hormone replacement therapy. The frequency and severity of hyperthyroidism and thyroiditis were similar whether TECENTRIQ was given as a single-agent in patients with various cancers or in combination with other antineoplastic drugs in NSCLC and SCLC.

*Adrenal Insufficiency:* Monitor patients for clinical signs and symptoms of adrenal insufficiency. For Grade 2 or higher adrenal insufficiency, initiate prednisone 1 to 2 mg/kg/day or equivalents, followed by a taper and hormone replacement as clinically indicated. Interrupt TECENTRIQ based on the severity [*see Dosage and Administration (2.6)*].

In clinical studies enrolling 2616 patients who received TECENTRIQ as a single-agent, adrenal insufficiency occurred in 0.4% of patients, including Grade 3 (< 0.1%) adrenal insufficiency. Median time to onset was 5.7 months (3 days to 19 months). There was insufficient information to adequately characterize the median duration of adrenal insufficiency. Adrenal insufficiency resolved in 27% of patients. Systemic corticosteroids were required in 0.3% of 2616 patients, including 0.1% who required high-dose corticosteroids (prednisone  $\geq$  40 mg per day or equivalent). The frequency and severity of adrenal insufficiency were similar whether TECENTRIQ was given as a single-agent in patients with various cancers or in combination with other antineoplastic drugs in NSCLC and SCLC.

*Type 1 Diabetes Mellitus:* Monitor patients for hyperglycemia or other signs and symptoms of diabetes. Initiate treatment with insulin as clinically indicated. Interrupt TECENTRIQ based on the severity [*see Dosage and Administration (2.6)*].

In clinical studies enrolling 2616 patients who received TECENTRIQ as a single-agent, type 1 diabetes mellitus occurred in < 0.1% of patients. Insulin was required in one patient. The frequency and severity of diabetes mellitus were similar whether TECENTRIQ was given as a single-agent in patients with various cancers or in combination with other antineoplastic drugs in NSCLC and SCLC.

*Hypophysitis:* For Grade 2 or higher hypophysitis, initiate prednisone 1–2 mg/kg/day or equivalents, followed by a taper and hormone replacement therapy as clinically indicated. Interrupt TECENTRIQ based on the severity [*see Dosage and Administration (2.6)*].

In clinical studies enrolling 2616 patients who received TECENTRIQ as a single-agent, Grade 2 hypophysitis occurred in < 0.1% of patients. The frequency and severity of hypophysitis were similar whether TECENTRIQ was given as a single-agent in patients with various cancers or in combination with other antineoplastic drugs in NSCLC and SCLC.

## **5.5 Other Immune-Mediated Adverse Reactions**

TECENTRIQ can cause severe and fatal immune-mediated adverse reactions. These immune-mediated reactions may involve any organ system. While immune-mediated reactions usually manifest during treatment with TECENTRIQ, immune-mediated adverse reactions can also manifest after discontinuation of TECENTRIQ.

For suspected Grade 2 immune-mediated adverse reactions, exclude other causes and initiate corticosteroids as clinically indicated. For severe (Grades 3 or 4) adverse reactions, administer corticosteroids, prednisone 1 to 2 mg/kg/day or equivalents, followed by a taper. Interrupt or permanently discontinue TECENTRIQ, based on the severity of the reaction [*see Dosage and Administration (2.6)*].

If uveitis occurs in combination with other immune-mediated adverse reactions, evaluate for Vogt-Koyanagi-Harada syndrome, which has been observed with other products in this class and may require treatment with systemic steroids to reduce the risk of permanent vision loss.

The following clinically significant, immune-mediated adverse reactions occurred at an incidence of < 1% in 2616 patients who received TECENTRIQ as a single-agent and in 2421 patients who received TECENTRIQ in combination with platinum-based chemotherapy or were reported in other products in this class [*see Adverse Reactions (6.1)*]:

*Cardiac:* myocarditis

*Dermatologic:* bullous dermatitis, pemphigoid, erythema multiforme, Stevens Johnson Syndrome (SJS)/toxic epidermal necrolysis (TEN).

*Gastrointestinal:* pancreatitis, including increases in serum amylase or lipase levels

*General:* systemic inflammatory response syndrome, histiocytic necrotizing lymphadenitis

*Hematological:* autoimmune hemolytic anemia, immune thrombocytopenic purpura.

*Musculoskeletal:* myositis, rhabdomyolysis.

*Neurological:* Guillain-Barre syndrome, myasthenia syndrome/myasthenia gravis, demyelination, immune-related meningoencephalitis, aseptic meningitis, encephalitis, facial and abducens nerve paresis, polymyalgia rheumatica, autoimmune neuropathy, and Vogt-Koyanagi-Harada syndrome.

*Ophthalmological:* uveitis, iritis.

*Renal:* nephrotic syndrome, nephritis.

*Vascular:* vasculitis

## **5.6 Infections**

TECENTRIQ can cause severe infections including fatal cases. Monitor patients for signs and symptoms of infection. For Grade 3 or higher infections, withhold TECENTRIQ and resume once clinically stable [see *Dosage and Administration (2.6)* and *Adverse Reactions (6.1)*].

In clinical studies enrolling 2616 patients with various cancers who received TECENTRIQ as a single-agent [see *Adverse Reactions (6.1)*], infections occurred in 42% of patients, including Grade 3 (8.7%), Grade 4 (1.5%), and Grade 5 (1%). In patients with urothelial carcinoma, the most common Grade 3 or higher infection was urinary tract infections, occurring in 6.5% of patients. In patients with NSCLC, the most common Grade 3 or higher infection was pneumonia, occurring in 3.8% of patients. The frequency and severity of infections were similar whether TECENTRIQ was given as a single-agent in patients with various cancers or in combination with other antineoplastic drugs in NSCLC and SCLC.

## **5.7 Infusion-Related Reactions**

TECENTRIQ can cause severe or life-threatening infusion-related reactions. Monitor for signs and symptoms of infusion-related reactions. Interrupt, slow the rate of, or permanently discontinue TECENTRIQ based on the severity [see *Dosage and Administration (2.6)*]. For Grade 1 or 2 infusion-related reactions, consider using pre-medications with subsequent doses.

In clinical studies enrolling 2616 patients with various cancers who received TECENTRIQ as a single-agent [see *Adverse Reactions (6.1)*], infusion-related reactions occurred in 1.3% of patients, including Grade 3 (0.2%). The frequency and severity of infusion-related reactions were similar whether TECENTRIQ was given as a single-agent in patients with various cancers, in combination with other antineoplastic drugs in NSCLC and SCLC, and across the recommended dose range (840 mg Q2W to 1680 mg Q4W).

## **5.8 Embryo-Fetal Toxicity**

Based on its mechanism of action, TECENTRIQ can cause fetal harm when administered to a pregnant woman. There are no available data on the use of TECENTRIQ in pregnant women. Animal studies have demonstrated that inhibition of the PD-L1/PD-1 pathway can lead to increased risk of immune-related rejection of the developing fetus resulting in fetal death.

Verify pregnancy status of females of reproductive potential prior to initiating TECENTRIQ. Advise females of reproductive potential of the potential risk to a fetus. Advise females of reproductive potential to use effective contraception during treatment with TECENTRIQ and for at least 5 months after the last dose [see *Use in Specific Populations* (8.1, 8.3)].

## **6 ADVERSE REACTIONS**

The following adverse reactions are discussed in greater detail in other sections of the label:

- Immune-Mediated Pneumonitis [see *Warnings and Precautions* (5.1)]
- Immune-Mediated Hepatitis [see *Warnings and Precautions* (5.2)]
- Immune-Mediated Colitis [see *Warnings and Precautions* (5.3)]
- Immune-Mediated Endocrinopathies [see *Warnings and Precautions* (5.4)]
- Other Immune-Mediated Adverse Reactions [see *Warnings and Precautions* (5.5)]
- Infections [see *Warnings and Precautions* (5.6)]
- Infusion-Related Reactions [see *Warnings and Precautions* (5.7)]

### **6.1 Clinical Trials Experience**

Because clinical trials are conducted under widely varying conditions, adverse reaction rates observed in the clinical trials of a drug cannot be directly compared to rates in the clinical trials of another drug and may not reflect the rates observed in practice.

The data described in WARNINGS AND PRECAUTIONS reflect exposure to TECENTRIQ as a single-agent in 2616 patients in two randomized, active-controlled studies (POPLAR, OAK) and four open-label, single arm studies (PCD4989g, IMvigor210, BIRCH, FIR) which enrolled 524 patients with metastatic urothelial carcinoma, 1636 patients with metastatic NSCLC, and 456 patients with other tumor types. TECENTRIQ was administered at a dose of 1200 mg intravenously every 3 weeks in all studies except PCD4989g. Among the 2616 patients who received a single-agent TECENTRIQ, 36% were exposed for longer than 6 months and 20% were exposed for longer than 12 months.

Using the dataset described for patients who received TECENTRIQ as a single-agent, the most common adverse reactions in  $\geq 20\%$  of patients were fatigue/asthenia (48%), decreased appetite (25%), nausea (24%), cough (22%), and dyspnea (22%).

In addition, the data reflect exposure to TECENTRIQ in combination with other antineoplastic drugs in 2421 patients with NSCLC (N = 2223) or SCLC (N = 198) enrolled in five randomized, active-controlled trials, including IMpower150 and IMpower133. Among the 2421 patients, 53% were exposed to TECENTRIQ for longer than 6 months and 29% were exposed to TECENTRIQ for longer than 12 months.

Among the 2421 patients with NSCLC and SCLC who received TECENTRIQ in combination with other antineoplastic drugs, the most common adverse reactions in  $\geq 20\%$  of patients were fatigue/asthenia (49%), nausea (38%), alopecia (35%), constipation (29%), diarrhea (28%) and decreased appetite (27%).

The data described below in this section were obtained from one open-label, single arm, multiple cohort study (IMvigor210) and three randomized open-label, active-controlled studies (OAK, IMpower150 and IMpower133). In these trials, TECENTRIQ was administered at a dose of 1200 mg intravenously every 3 weeks. This section also describes data from one randomized, placebo-controlled study (IMpassion130) in which TECENTRIQ was administered (at a dose of 840 mg

intravenously every 2 weeks) in combination with paclitaxel protein-bound to 452 patients with metastatic TNBC.

### Urothelial Carcinoma

#### *Cisplatin-Ineligible Patients with Locally Advanced or Metastatic Urothelial Carcinoma*

The safety of TECENTRIQ was evaluated in IMvigor 210 (Cohort 1), a multicenter, open-label, single-arm trial that included 119 patients with locally advanced or metastatic urothelial carcinoma who were ineligible for cisplatin-containing chemotherapy and were either previously untreated or had disease progression at least 12 months after neoadjuvant or adjuvant chemotherapy [see *Clinical Studies (14.1)*]. Patients received TECENTRIQ 1200 mg intravenously every 3 weeks until either unacceptable toxicity or disease progression. The median duration of exposure was 15 weeks (0 to 87 weeks).

The most common Grades 3–4 adverse reactions ( $\geq 2\%$ ) were fatigue, urinary tract infection, anemia, diarrhea, blood creatinine increase, intestinal obstruction, ALT increase, hyponatremia, decreased appetite, sepsis, back/neck pain, renal failure, and hypotension.

Five patients (4.2%) who were treated with TECENTRIQ experienced one of the following events which led to death: sepsis, cardiac arrest, myocardial infarction, respiratory failure, or respiratory distress. One additional patient (0.8%) was experiencing herpetic meningoencephalitis and disease progression at the time of death.

Serious adverse reactions occurred in 37% of patients. The most frequent serious adverse reactions ( $\geq 2\%$ ) were diarrhea, intestinal obstruction, sepsis, acute kidney injury, and renal failure.

TECENTRIQ was discontinued for adverse reactions in 4.2% of patients. The adverse reactions leading to discontinuation were diarrhea/colitis (1.7%), fatigue (0.8%), hypersensitivity (0.8%), and dyspnea (0.8%).

Adverse reactions leading to interruption occurred in 35% of patients; the most common ( $\geq 1\%$ ) were intestinal obstruction, fatigue, diarrhea, urinary tract infection, infusion-related reaction, cough, abdominal pain, peripheral edema, pyrexia, respiratory tract infection, upper respiratory tract infection, creatinine increase, decreased appetite, hyponatremia, back pain, pruritus, and venous thromboembolism.

Tables 2 and 3 summarize the adverse reactions and Grades 3–4 selected laboratory abnormalities, respectively, in patients who received TECENTRIQ in IMvigor210 (Cohort 1).

**Table 2: Adverse Reactions in  $\geq 10\%$  of Patients with Urothelial Carcinoma in IMvigor210 (Cohort 1)**

Adverse Reaction	TECENTRIQ N = 119	
	All Grades (%)	Grades 3–4 (%)
<b>General</b>		
Fatigue <sup>1</sup>	52	8
Peripheral edema <sup>2</sup>	17	2
Pyrexia	14	0.8
<b>Gastrointestinal</b>		

Adverse Reaction	TECENTRIQ N = 119	
	All Grades (%)	Grades 3-4 (%)
Diarrhea <sup>3</sup>	24	5
Nausea	22	2
Vomiting	16	0.8
Constipation	15	2
Abdominal pain <sup>4</sup>	15	0.8
<b>Metabolism and Nutrition</b>		
Decreased appetite <sup>5</sup>	24	3
<b>Musculoskeletal and Connective Tissue</b>		
Back/Neck pain	18	3
Arthralgia	13	0
<b>Skin and Subcutaneous Tissue</b>		
Pruritus	18	0.8
Rash <sup>6</sup>	17	0.8
<b>Infections</b>		
Urinary tract infection <sup>7</sup>	17	5
<b>Respiratory, Thoracic, and Mediastinal</b>		
Cough <sup>8</sup>	14	0
Dyspnea <sup>9</sup>	12	0

<sup>1</sup> Includes fatigue, asthenia, lethargy, and malaise

<sup>2</sup> Includes edema peripheral, scrotal edema, lymphedema, and edema

<sup>3</sup> Includes diarrhea, colitis, frequent bowel movements, autoimmune colitis

<sup>4</sup> Includes abdominal pain, upper abdominal pain, lower abdominal pain, and flank pain

<sup>5</sup> Includes decreased appetite and early satiety

<sup>6</sup> Includes rash, dermatitis, dermatitis acneiform, rash maculo-papular, rash erythematous, rash pruritic, rash macular, and rash papular

<sup>7</sup> Includes urinary tract infection, urinary tract infection bacterial, cystitis, and urosepsis

<sup>8</sup> Includes cough and productive cough

<sup>9</sup> Includes dyspnea and exertional dyspnea

**Table 3: Grades 3-4 Laboratory Abnormalities in ≥ 1% of Patients with Urothelial Carcinoma in IMvigor210 (Cohort 1)**

Laboratory Abnormality	Grades 3-4 (%)
<b>Chemistry</b>	
Hyponatremia	15
Hyperglycemia	10
Increased Alkaline Phosphatase	7
Increased Creatinine	5

Laboratory Abnormality	Grades 3-4 (%)
Hypophosphatemia	4
Increased ALT	4
Increased AST	4
Hyperkalemia	3
Hypermagnesemia	3
Hyperbilirubinemia	3
<b>Hematology</b>	
Lymphopenia	9
Anemia	7

#### *Previously Treated Locally Advanced or Metastatic Urothelial Carcinoma*

The safety of TECENTRIQ was evaluated in IMvigor210 (Cohort 2), a multicenter, open-label, single-arm trial that included 310 patients with locally advanced or metastatic urothelial carcinoma who had disease progression during or following at least one platinum-containing chemotherapy regimen or who had disease progression within 12 months of treatment with a platinum-containing neoadjuvant or adjuvant chemotherapy regimen [see *Clinical Studies (14.1)*]. Patients received TECENTRIQ 1200 mg intravenously every 3 weeks until unacceptable toxicity or either radiographic or clinical progression. The median duration of exposure was 12.3 weeks (0.1 to 46 weeks).

The most common Grades 3–4 adverse reactions ( $\geq 2\%$ ) were urinary tract infection, anemia, fatigue, dehydration, intestinal obstruction, urinary obstruction, hematuria, dyspnea, acute kidney injury, abdominal pain, venous thromboembolism, sepsis, and pneumonia.

Three patients (1%) who were treated with TECENTRIQ experienced one of the following events which led to death: sepsis, pneumonitis, or intestinal obstruction.

TECENTRIQ was discontinued for adverse reactions in 3.2% of patients. Sepsis led to discontinuation in 0.6% of patients.

Serious adverse reactions occurred in 45% of patients. The most frequent serious adverse reactions ( $> 2\%$ ) were urinary tract infection, hematuria, acute kidney injury, intestinal obstruction, pyrexia, venous thromboembolism, urinary obstruction, pneumonia, dyspnea, abdominal pain, sepsis, and confusional state.

Adverse reactions leading to interruption occurred in 27% of patients; the most common ( $> 1\%$ ) were liver enzyme increase, urinary tract infection, diarrhea, fatigue, confusional state, urinary obstruction, pyrexia, dyspnea, venous thromboembolism, and pneumonitis.

Tables 4 and 5 summarize the adverse reactions and Grades 3–4 selected laboratory abnormalities, respectively, in patients who received TECENTRIQ in IMvigor210 (Cohort 2).

**Table 4: Adverse Reactions in  $\geq 10\%$  of Patients with Urothelial Carcinoma in IMvigor210 (Cohort 2)**

Adverse Reaction	TECENTRIQ N = 310	
	All Grades (%)	Grades 3-4 (%)
<b>General</b>		

Adverse Reaction	TECENTRIQ N = 310	
	All Grades (%)	Grades 3-4 (%)
Fatigue	52	6
Pyrexia	21	1
Peripheral edema	18	1
<b>Metabolism and Nutrition</b>		
Decreased appetite	26	1
<b>Gastrointestinal</b>		
Nausea	25	2
Constipation	21	0.3
Diarrhea	18	1
Abdominal pain	17	4
Vomiting	17	1
<b>Infections</b>		
Urinary tract infection	22	9
<b>Respiratory, Thoracic, and Mediastinal</b>		
Dyspnea	16	4
Cough	14	0.3
<b>Musculoskeletal and Connective Tissue</b>		
Back/Neck pain	15	2
Arthralgia	14	1
<b>Skin and Subcutaneous Tissue</b>		
Rash	15	0.3
Pruritus	13	0.3
<b>Renal and Urinary</b>		
Hematuria	14	3

**Table 5: Grades 3–4 Laboratory Abnormalities in  $\geq 1\%$  of Patients with Urothelial Carcinoma in IMvigor210 (Cohort 2)**

Laboratory Abnormality	Grades 3–4 (%)
<b>Chemistry</b>	
Hyponatremia	10
Hyperglycemia	5
Increased Alkaline Phosphatase	4
Increased Creatinine	3
Increased ALT	2
Increased AST	2
Hypoalbuminemia	1
<b>Hematology</b>	
Lymphopenia	10
Anemia	8

### Non-small Cell Lung Cancer (NSCLC)

#### *Metastatic Non-Squamous NSCLC*

The safety of TECENTRIQ with bevacizumab, paclitaxel and carboplatin was evaluated in IMpower150, a multicenter, international, randomized, open-label trial in which 393 chemotherapy-naïve patients with metastatic non-squamous NSCLC received TECENTRIQ 1200 mg with bevacizumab 15 mg/kg, paclitaxel 175 mg/m<sup>2</sup> or 200 mg/m<sup>2</sup>, and carboplatin AUC 6 mg/mL/min every 3 weeks for a maximum of 4 or 6 cycles, followed by TECENTRIQ 1200 mg with bevacizumab 15 mg/kg every 3 weeks until disease progression or unacceptable toxicity [see *Clinical Studies (14.2)*]. The median duration of exposure to TECENTRIQ was 8.3 months in patients receiving TECENTRIQ with bevacizumab, paclitaxel, and carboplatin.

The most common Grades 3–4 adverse reactions ( $\geq 2\%$ ) in patients receiving TECENTRIQ were fatigue/asthenia, hypertension, febrile neutropenia, diarrhea, pneumonia, nausea, decreased appetite, dehydration, and pulmonary embolism.

Fatal adverse reactions occurred in 6% of patients receiving TECENTRIQ; these included hemoptysis, febrile neutropenia, pulmonary embolism, pulmonary hemorrhage, death, cardiac arrest, cerebrovascular accident, pneumonia, aspiration pneumonia, chronic obstructive pulmonary disease, intracranial hemorrhage, intestinal angina, intestinal ischemia, intestinal obstruction and aortic dissection.

Serious adverse reactions occurred in 44%. The most frequent serious adverse reactions ( $>2\%$ ) were febrile neutropenia, pneumonia, diarrhea, and hemoptysis.

TECENTRIQ was discontinued due to adverse reactions in 15% of patients; the most common adverse reaction leading to discontinuation was pneumonitis (1.8%).

Adverse reactions leading to interruption of TECENTRIQ occurred in 48%; the most common ( $>1\%$ ) were neutropenia, thrombocytopenia, fatigue/asthenia, diarrhea, hypothyroidism, anemia, pneumonia, pyrexia, hyperthyroidism, febrile neutropenia, increased ALT, dyspnea, dehydration and proteinuria.

Tables 6 and 7 summarize adverse reactions and laboratory abnormalities in patients receiving TECENTRIQ with bevacizumab, paclitaxel, and carboplatin in IMpower150. Study IMpower150 was not designed to demonstrate a statistically significant reduction in adverse reaction rates for TECENTRIQ, as compared to the control arm, for any specified adverse reaction or laboratory abnormality listed in Tables 6 and 7.

**Table 6: Adverse Reactions Occurring in ≥15% of Patients with NSCLC Receiving TECENTRIQ in IMpower150**

Adverse Reaction	TECENTRIQ with Bevacizumab, Paclitaxel, and Carboplatin N = 393		Bevacizumab, Paclitaxel and Carboplatin N = 394	
	All Grades* (%)	Grades 3–4* (%)	All Grades* (%)	Grades 3–4* (%)
<b>Nervous System</b>				
Neuropathy <sup>1</sup>	56	3	47	3
Headache	16	0.8	13	0
<b>General</b>				
Fatigue/Asthenea	50	6	46	6
Pyrexia	19	0.3	9	0.5
<b>Skin and Subcutaneous Tissue</b>				
Alopecia	48	0	46	0
Rash <sup>2</sup>	23	2	10	0.3
<b>Musculoskeletal and Connective Tissue</b>				
Myalgia/Pain <sup>3</sup>	42	3	34	2
Arthralgia	26	1	22	1
<b>Gastrointestinal</b>				
Nausea	39	4	32	2
Diarrhea <sup>4</sup>	33	6	25	0.5
Constipation	30	0.3	23	0.3
Vomiting	19	2	18	1
<b>Metabolism and Nutrition</b>				
Decreased appetite	29	4	21	0.8
<b>Vascular</b>				
Hypertension	25	9	22	8
<b>Respiratory</b>				
Cough	20	0.8	19	0.3
Epistaxis	17	1	22	0.3
<b>Renal</b>				
Proteinuria <sup>5</sup>	16	3	15	3

\* Graded per NCI CTCAE v4.0

<sup>1</sup> Includes neuropathy peripheral, peripheral sensory neuropathy, hypoesthesia, paresthesia, dysesthesia, polyneuropathy.

<sup>2</sup> Includes rash, rash maculo-papular, drug eruption, eczema, eczema asteatotic, dermatitis, contact dermatitis, rash erythematous, rash macular, pruritic rash, seborrheic dermatitis, dermatitis psoriasiform.

<sup>3</sup> Includes pain in extremity, musculoskeletal chest pain, musculoskeletal discomfort, neck pain, backpain, myalgia, and bone pain.

<sup>4</sup> Includes diarrhea, gastroenteritis, colitis, enterocolitis.

<sup>5</sup> Data based on Preferred Terms since laboratory data for proteinuria were not systematically collected.

**Table 7: Laboratory Abnormalities Worsening from Baseline Occurring in ≥20% of Patients with NSCLC Receiving TECENTRIQ in IMpower150**

Laboratory Abnormality	TECENTRIQ with Bevacizumab, Paclitaxel, and Carboplatin <sup>2</sup>		Bevacizumab, Paclitaxel and Carboplatin <sup>2</sup>	
	All Grades <sup>1</sup> (%)	Grades 3–4 (%)	All Grades <sup>1</sup> (%)	Grades 3–4 (%)
<b>Hematology</b>				
Anemia	83	10	83	9
Neutropenia	52	31	45	26
Lymphopenia	48	17	38	13
<b>Chemistry</b>				
Hyperglycemia	61	0	60	0
Increased BUN	52	NA	44	NA
Hypomagnesemia	42	2	36	1
Hypoalbuminemia	40	3	31	2
Increased AST	40	4	28	0.8
Hyponatremia	38	10	36	9
Increased Alkaline Phosphatase	37	2	32	1
Increased ALT	37	6	28	0.5
Increased TSH	30	NA	20	NA
Hyperkalemia	28	3	25	2
Increased Creatinine	28	1	19	2
Hypocalcemia	26	3	21	3
Hypophosphatemia	25	4	18	4
Hypokalemia	23	7	14	4
Hyperphosphatemia	25	N/A	19	N/A

NA = Not applicable.

<sup>1</sup> NCI CTCAE does not provide a Grades 3-4 definition for these laboratory abnormalities

<sup>2</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: TECENTRIQ with bevacizumab, paclitaxel, and carboplatin range: 337-380); bevacizumab, paclitaxel, and carboplatin (range: 337-382)

### Previously Treated Metastatic NSCLC

The safety of TECENTRIQ was evaluated in OAK, a multicenter, international, randomized, open-label trial in patients with metastatic NSCLC who progressed during or following a platinum-containing regimen, regardless of PD-L1 expression [see *Clinical Studies (14.2)*]. A total of 609 patients received TECENTRIQ 1200 mg intravenously every 3 weeks until unacceptable toxicity, radiographic progression, or clinical progression or docetaxel (n=578) 75 mg/m<sup>2</sup> intravenously every 3 weeks until unacceptable toxicity or disease progression. The study excluded patients with active or prior autoimmune disease or with medical conditions that required systemic corticosteroids. The study population characteristics were: median age of 63 years (25 to 85 years), 46% age 65 years or older, 62% male, 71% White, 20% Asian, 68% former smoker, 16% current smoker, and 63% had ECOG performance status of 1. The median

duration of exposure was 3.4 months (0 to 26 months) in TECENTRIQ-treated patients and 2.1 months (0 to 23 months) in docetaxel-treated patients.

The most common Grades 3–4 adverse reactions ( $\geq 2\%$ ) were dyspnea, pneumonia, fatigue, and pulmonary embolism.

Fatal adverse reactions occurred in 1.6% of patients; these included pneumonia, sepsis, septic shock, dyspnea, pulmonary hemorrhage, sudden death, myocardial ischemia or renal failure.

Serious adverse reactions occurred in 33.5% of patients. The most frequent serious adverse reactions ( $>1\%$ ) were pneumonia, sepsis, dyspnea, pleural effusion, pulmonary embolism, pyrexia and respiratory tract infection.

TECENTRIQ was discontinued due to adverse reactions in 8% of patients. The most common adverse reactions leading to TECENTRIQ discontinuation were fatigue, infections and dyspnea. Adverse reactions leading to interruption of TECENTRIQ occurred in 25% of patients; the most common ( $>1\%$ ) were pneumonia, liver function test abnormality, dyspnea, fatigue, pyrexia, and back pain.

Tables 8 and 9 summarize adverse reactions and laboratory abnormalities, respectively, in OAK.

**Table 8: Adverse Reactions Occurring in  $\geq 10\%$  of Patients with NSCLC Receiving TECENTRIQ in OAK**

Adverse Reaction <sup>1</sup>	TECENTRIQ N = 609		Docetaxel N = 578	
	All Grades (%)	Grades 3-4 (%)	All Grades (%)	Grades 3-4 (%)
<b>General</b>				
Fatigue/Asthenia <sup>2</sup>	44	4	53	6
Pyrexia	18	<1	13	<1
<b>Respiratory</b>				
Cough <sup>3</sup>	26	<1	21	<1
Dyspnea	22	2.8	21	2.6
<b>Metabolism and Nutrition</b>				
Decreased appetite	23	<1	24	1.6
<b>Musculoskeletal</b>				
Myalgia/pain <sup>4</sup>	20	1.3	20	<1
Arthralgia	12	0.5	10	0.2
<b>Gastrointestinal</b>				
Nausea	18	<1	23	<1
Constipation	18	<1	14	<1
Diarrhea	16	<1	24	2
<b>Skin</b>				
Rash <sup>5</sup>	12	<1	10	0

<sup>1</sup> Graded per NCI CTCAE v4.0

<sup>2</sup> Includes fatigue and asthenia

<sup>3</sup> Includes cough and exertional cough

<sup>4</sup> Includes musculoskeletal pain, musculoskeletal stiffness, musculoskeletal chest pain, myalgia

<sup>5</sup> Includes rash, erythematous rash, generalized rash, maculopapular rash, papular rash, pruritic rash, pustular rash, pemphigoid

**Table 9: Laboratory Abnormalities Worsening From Baseline Occurring in  $\geq 20\%$  of Patients with NSCLC Receiving TECENTRIQ in OAK**

Laboratory Abnormality	TECENTRIQ		Docetaxel	
	All Grades <sup>1</sup> (%) <sup>2</sup>	Grades 3-4 (%)	All Grades <sup>1</sup> (%) <sup>2</sup>	Grades 3-4 (%)
<b>Hematology</b>				
Anemia	67	3	82	7
Lymphocytopenia	49	14	60	21
<b>Chemistry</b>				
Hypoalbuminemia	48	4	50	3
Hyponatremia	42	7	31	6
Increased Alkaline Phosphatase	39	2	25	1
Increased AST	31	3	16	0.5
Increased ALT	27	3	14	0.5
Hypophosphatemia	27	5	23	4
Hypomagnesemia	26	1	21	1
Increased Creatinine	23	2	16	1

<sup>1</sup> Graded according to NCI CTCAE version 4.0

<sup>2</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: TECENTRIQ (range: 546–585) and docetaxel (range: 532–560)

### Metastatic Triple Negative Breast Cancer (TNBC)

The safety of TECENTRIQ in combination with paclitaxel protein-bound was evaluated in IMpassion130, a multicenter, international, randomized, double-blinded placebo-controlled trial in patients with locally advanced or metastatic TNBC who have not received prior chemotherapy for metastatic disease [see *Clinical Studies (14.3)*]. Patients received 840 mg of TECENTRIQ (n=452) or placebo (n=438) intravenously followed by paclitaxel protein-bound (100 mg/m<sup>2</sup>) intravenously. For each 28 day cycle, TECENTRIQ was administered on days 1 and 15 and paclitaxel protein-bound was administered on days 1, 8, and 15 until disease progression or unacceptable toxicity. In the safety-evaluable population, the median duration of exposure to TECENTRIQ was 5.5 months (range: 0-32 months) and paclitaxel protein-bound was 5.1 months (range: 0 – 31.5 months) in the TECENTRIQ plus paclitaxel protein-bound arm. The median duration of exposure to placebo was 5.1 months (range: 0-25.1 months) and paclitaxel protein-bound was 5.0 months (range: 0-23.7 months) in the placebo plus paclitaxel protein-bound arm.

The most common Grades 3-4 adverse reactions occurring in  $\geq 2\%$ , were neutropenia (8%), peripheral neuropathies (9%), neutrophil count decreased (4.6%), fatigue (4%), anemia (2.9%), hypokalemia (2.2%), pneumonia (2.2%), and aspartate aminotransferase increased (2.0%). Adverse reactions leading to discontinuation of TECENTRIQ occurred in 6% (29/452) of patients in the TECENTRIQ and paclitaxel protein-bound arm. The most common adverse reaction leading to TECENTRIQ discontinuation was peripheral neuropathy (<1%). Fatal adverse reactions occurred in 1.3% (6/452) of patients in the TECENTRIQ and paclitaxel protein-bound arm; these included septic shock, mucosal inflammation, auto-immune hepatitis, aspiration, pneumonia, pulmonary embolism. Adverse reactions leading to interruption of TECENTRIQ occurred in 31% of patients; the most common ( $\geq 2\%$ ) were neutropenia, neutrophil count decreased, hyperthyroidism, and pyrexia. Serious adverse reactions occurred in

23% (103/452) of patients. The most frequent serious adverse reactions were pneumonia (2%), urinary tract infection (1%), dyspnea (1%), and pyrexia (1%).

Immune-related adverse reactions requiring systemic corticosteroid therapy occurred in 13% (59/452) of patients in the TECENTRIQ and paclitaxel protein-bound arm.

Table 10 summarizes adverse reactions that occurred in at least 10% of patients treated with TECENTRIQ and paclitaxel protein-bound. Table 11 summarizes selected laboratory abnormalities worsening from baseline that occurred in at least 20% of patients in the TECENTRIQ treated patients.

**Table 10: Adverse Reactions Occurring in  $\geq$ 10% of Patients with TNBC (IMpassion130)**

Adverse Reaction <sup>1</sup>	TECENTRIQ in combination with paclitaxel protein-bound (n=452)		Placebo in combination with paclitaxel protein-bound (n=438)	
	All Grades (%)	Grades 3-4 (%)	All Grades (%)	Grades 3-4 (%)
<b>Percentage (%) of Patients</b>				
<b>Skin and Subcutaneous Tissue Disorders</b>				
Alopecia	56	<1	58	<1
Rash	17	<1	16	<1
Pruritus	14	0	10	0
<b>Nervous System</b>				
Peripheral neuropathies <sup>2</sup>	47	9	44	5
Headache	23	<1	22	<1
Dysgeusia	14	0	14	0
Dizziness	14	0	11	0
<b>General Disorders and administration site conditions</b>				
Fatigue	47	4	45	3.4
Pyrexia	19	<1	11	0
Peripheral Edema	15	<1	16	1.4
Asthenia	12	<1	11	<1
<b>Gastrointestinal Disorders</b>				
Nausea	46	1.1	38	1.8
Diarrhea	33	1.3	34	2.1
Constipation	25	<1	25	<1
Vomiting	20	<1	17	1.1
Abdominal pain	10	<1	12	<1
<b>Respiratory, Thoracic, and Mediastinal Disorders</b>				
Cough	25	0	19	0
Dyspnea	16	<1	15	<1
<b>Metabolism and Nutrition Disorders</b>				
Decreased Appetite	20	<1	18	<1
<b>Musculoskeletal and Connective Tissue Disorders</b>				

Arthralgia	18	<1	16	<1
Back pain	15	1.3	13	<1
Myalgia	14	<1	15	<1
Pain in extremity	11	<1	10	<1
<b>Endocrine Disorders</b>				
Hypothyroidism	14	0	3.4	0
<b>Infections and infestations</b>				
Urinary tract infection	12	<1	11	<1
Upper respiratory tract infection	11	1.1	9	0
Nasopharyngitis	11	0	8	0

<sup>1</sup> Graded per NCI CTCAE v4.0

<sup>2</sup> Includes peripheral neuropathy, peripheral sensory neuropathy, paresthesia, and polyneuropathy

**Table 11: Laboratory Abnormalities Worsening from Baseline Occurring in ≥20% of Patients with TNBC (IMpassion130)**

Laboratory Abnormality Test	Percentage of Patients with Worsening Laboratory Test from Baseline			
	TECENTRIQ in combination with paclitaxel protein-bound (n=452)		Placebo in combination with paclitaxel protein-bound (n=438)	
	All Grades <sup>1</sup> (%) <sup>2</sup>	Grades 3–4 (%)	All Grades <sup>1</sup> (%) <sup>2</sup>	Grades 3–4 (%)
<b>Chemistry</b>				
Increased ALT	43	6	34	2.7
Increased AST	42	4.9	34	3.4
Decreased Calcium	28	1.1	26	<1
Decreased Sodium	27	4.2	25	2.7
Decreased Albumin	27	<1	25	<1
Increased Alkaline Phosphatase	25	3.3	22	2.7
Decreased Phosphate	22	3.6	19	3.7
Increased Creatinine	21	<1	16	<1
<b>Hematology</b>				
Decreased Hemoglobin	79	3.8	73	3
Decreased Leukocytes	76	14	71	9
Decreased Neutrophils	58	13	54	13
Decreased Lymphocytes	54	13	47	8
Increased Prothrombin INR	25	<1	25	<1

<sup>1</sup> Graded per NCI CTCAE v4.0, except for increased creatinine which only includes patients with creatinine increase based on upper limit of normal definition for grade 1 events (NCI CTCAE v5.0).

<sup>2</sup> Based on the number of patients with available baseline and at least one on-treatment laboratory test.

### Small Cell Lung Cancer (SCLC)

The safety of TECENTRIQ with carboplatin and etoposide was evaluated in IMpower133, a randomized, multicenter, double-blind, placebo-controlled trial in which 198 patients with ES-SCLC received TECENTRIQ 1200 mg and carboplatin AUC 5 mg/mL/min on Day 1 and etoposide 100 mg/m<sup>2</sup> intravenously on Days 1, 2 and 3 of each 21-day cycle for a maximum of 4 cycles, followed by TECENTRIQ 1200 mg every 3 weeks until disease progression or unacceptable toxicity [see *Clinical Studies (14.4)*]. Among 198 patients receiving TECENTRIQ, 32% were exposed for 6 months or longer and 12% were exposed for 12 months or longer.

The most common Grades 3–4 adverse reactions (≥2%) were fatigue/asthenia (5%), febrile neutropenia (3.5%), pneumonia (3.0%), asthenia (2.5%), diarrhea (2.0%), and infusion related reaction (2.0%).

Fatal adverse reactions occurred in 2% of patients receiving TECENTRIQ. These included pneumonia, respiratory failure, neutropenia, and death (1 patient each).

Serious adverse reactions occurred in 37% of patients receiving TECENTRIQ. Serious adverse reactions in >2% were pneumonia (4.5%), neutropenia (3.5%), febrile neutropenia (2.5%), and thrombocytopenia (2.5%).

TECENTRIQ was discontinued due to adverse reactions in 11% of patients. The most frequent adverse reaction requiring permanent discontinuation in >2% of patients was infusion-related reactions (2.5%).

Adverse reactions leading to interruption of TECENTRIQ occurred in 59% of patients; the most common (>1%) were neutropenia (22%), anemia (9%), leukopenia (7%), thrombocytopenia (5%), fatigue (4.0%), infusion-related reaction (3.5%), pneumonia (2.0%), febrile neutropenia (1.5%), increased ALT (1.5%), and nausea (1.5%).

Tables 12 and 13 summarize adverse reactions and laboratory abnormalities, respectively, in patients who received TECENTRIQ with carboplatin and etoposide in IMpower133.

**Table 12: Adverse Reactions Occurring in ≥20% of Patients with SCLC Receiving TECENTRIQ in IMpower133**

Adverse Reaction	TECENTRIQ with Carboplatin and Etoposide N = 198		Placebo with Carboplatin and Etoposide N = 196	
	All Grades <sup>1</sup> (%)	Grades 3–4 <sup>1</sup> (%)	All Grades <sup>1</sup> (%)	Grades 3–4 <sup>1</sup> (%)
<b>General</b>				
Fatigue/asthenia	39	5	33	3
<b>Gastrointestinal</b>				
Nausea	38	1	33	1
Constipation	26	1	30	1
Vomiting	20	2	17	3
<b>Skin and Subcutaneous Tissue</b>				
Alopecia	37	0	35	0
<b>Metabolism and Nutrition</b>				
Decreased appetite	27	1	18	0

<sup>1</sup> Graded per NCI CTCAE v4.0

**Table 13: Laboratory Abnormalities Worsening from Baseline Occurring in ≥20% of Patients with SCLC Receiving TECENTRIQ in IMpower133**

Laboratory Abnormality	TECENTRIQ with Carboplatin and Etoposide <sup>2</sup>		Placebo with Carboplatin and Etoposide <sup>2</sup>	
	All Grades <sup>1</sup> (%) <sup>2</sup>	Grades 3–4 <sup>1</sup> (%) <sup>2</sup>	All Grades <sup>1</sup> (%) <sup>2</sup>	Grades 3–4 <sup>1</sup> (%) <sup>2</sup>
<b>Hematology</b>				
Anemia	94	17	93	19
Neutropenia	73	45	76	48
Thrombocytopenia	58	20	53	17
Lymphopenia	46	14	38	11
<b>Chemistry</b>				
Hyperglycemia	67	10	65	8
Increased Alkaline Phosphatase	38	1	35	2
Hyponatremia	34	15	33	11
Hypoalbuminemia	32	1	30	0
Decreased TSH <sup>3</sup>	28	NA <sup>3</sup>	15	NA <sup>3</sup>
Hypomagnesemia	31	5	35	6
Hypocalcemia	26	3	28	5
Increased ALT	26	3	31	1
Increased AST	22	1	21	2
Increased Blood Creatinine	22	4	15	1
Hyperphosphatemia <sup>3</sup>	21	NA <sup>3</sup>	23	NA <sup>3</sup>
Increased TSH <sup>3</sup>	21	NA <sup>3</sup>	7	NA <sup>3</sup>

<sup>1</sup> Graded per NCI CTCAE v4.0

<sup>2</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: TECENTRIQ (range: 181-193); Placebo (range: 181-196)

<sup>3</sup> NA= Not applicable. NCI CTCAE v4.0 does not include these laboratories.

## 6.2 Immunogenicity

As with all therapeutic proteins, there is a potential for immunogenicity. The detection of antibody formation is highly dependent on the sensitivity and specificity of the assay. Additionally, the observed incidence of antibody (including neutralizing antibody) positivity in an assay may be influenced by several factors including assay methodology, sample handling, timing of sample collection, concomitant medications, and underlying disease. For these reasons, comparison of the incidence of antibodies to atezolizumab in the studies described above with the incidence of antibodies in other studies or to other products may be misleading.

Among 565 patients with NSCLC in OAK, 30% tested positive for treatment-emergent anti-drug antibodies (ADA) at one or more post-dose time points. The median onset time to ADA formation was 3 weeks. The ability of these binding ADA to neutralize atezolizumab is unknown. Patients who tested positive for treatment-emergent ADA also had decreased systemic atezolizumab exposure [see *Clinical Pharmacology (12.3)*]. Exploratory analyses showed that the subset of patients who were ADA positive by week 4 (21%; 118/560) appeared to have less efficacy (effect on overall survival) as compared to patients who tested negative for treatment-emergent ADA by week 4 [see *Clinical Studies (14.2)*]. The presence of ADA did not have a clinically significant effect on the incidence or severity of adverse reactions.

Among 275 patients with urothelial carcinoma in IMvigor210 (Cohort 2), 42% tested positive for treatment-emergent ADA at one or more post-dose time points. Among 111 patients in IMvigor210 (Cohort 1), 48% tested positive for treatment-emergent ADA at one or more post-dose time points. Patients who tested positive for treatment-emergent ADA also had decreased systemic atezolizumab exposures. The presence of ADA did not have a clinically significant effect on the incidence or severity of adverse reactions.

Among 364 ADA-evaluable patients with NSCLC who received TECENTRIQ with bevacizumab, paclitaxel and carboplatin in IMpower150, 36% (n=132) tested positive for treatment-emergent ADA at one or more post-dose time points and 83% of these 132 patients tested ADA positive prior to receiving the second dose of atezolizumab. The ability of these binding ADA to neutralize atezolizumab is unknown. Patients who tested positive for treatment-emergent ADA had lower systemic atezolizumab exposure as compared to patients who were ADA negative [see *Clinical Pharmacology (12.3)*]. The presence of ADA did not increase the incidence or severity of adverse reactions [see *Clinical Studies (14.2)*].

Among 434 patients with TNBC in IMpassion130, 13% tested positive for treatment-emergent ADA at one or more post-dose time points. Among 178 patients in PD-L1 positive subgroup with TNBC in IMpassion130, 12% tested positive for treatment-emergent ADA at one or more post-dose time points. Patients who tested positive for treatment-emergent ADA had decreased systemic atezolizumab exposure [see *Clinical Pharmacology (12.3)*]. There are insufficient numbers of patients in the PD-L1 positive subgroup with ADA to determine whether ADA alters the efficacy of atezolizumab. The presence of ADA did not have a clinically significant effect on the incidence or severity of adverse reactions.

#### Reporting of suspected adverse reactions

Reporting suspected adverse reactions after authorisation of the medicinal product is important. It allows continued monitoring of the benefit/risk balance of the medicinal product. Any suspected adverse events should be reported to the Ministry of Health according to the National Regulation by using an online form: <http://sideeffects.health.gov.il>

## **8 USE IN SPECIFIC POPULATIONS**

### **8.1 Pregnancy**

#### Risk Summary

Based on its mechanism of action [see *Clinical Pharmacology (12.1)*], TECENTRIQ can cause fetal harm when administered to a pregnant woman. There are no available data on the use of TECENTRIQ in pregnant women.

Animal studies have demonstrated that inhibition of the PD-L1/PD-1 pathway can lead to increased risk of immune-related rejection of the developing fetus resulting in fetal death (*see Data*). Advise females of reproductive potential of the potential risk to a fetus.

In the U.S. general population, the estimated background risk of major birth defects and miscarriage in clinically recognized pregnancies is 2% to 4% and 15% to 20%, respectively.

#### Data

##### *Animal Data*

Animal reproduction studies have not been conducted with TECENTRIQ to evaluate its effect on reproduction and fetal development. A literature-based assessment of the effects on reproduction demonstrated that a central function of the PD-L1/PD-1 pathway is to preserve pregnancy by maintaining maternal immune tolerance to a fetus. Blockage of PD-L1 signaling has been shown

in murine models of pregnancy to disrupt tolerance to a fetus and to result in an increase in fetal loss; therefore, potential risks of administering TECENTRIQ during pregnancy include increased rates of abortion or stillbirth. As reported in the literature, there were no malformations related to the blockade of PD-L1/PD-1 signaling in the offspring of these animals; however, immune-mediated disorders occurred in PD-1 and PD-L1 knockout mice. Based on its mechanism of action, fetal exposure to atezolizumab may increase the risk of developing immune-mediated disorders or altering the normal immune response.

## **8.2 Lactation**

### Risk Summary

There is no information regarding the presence of atezolizumab in human milk, the effects on the breastfed infant, or the effects on milk production. As human IgG is excreted in human milk, the potential for absorption and harm to the infant is unknown. Because of the potential for serious adverse reactions in breastfed infants from TECENTRIQ, advise women not to breastfeed during treatment and for at least 5 months after the last dose.

## **8.3 Females and Males of Reproductive Potential**

### Pregnancy Testing

Verify pregnancy status in females of reproductive potential prior to initiating TECENTRIQ [*see Use in Specific Populations (8.1)*].

### Contraception

#### *Females*

Based on its mechanism of action, TECENTRIQ can cause fetal harm when administered to a pregnant woman [*see Use in Specific Populations (8.1)*]. Advise females of reproductive potential to use effective contraception during treatment with TECENTRIQ and for at least 5 months following the last dose.

### Infertility

#### *Females*

Based on animal studies, TECENTRIQ may impair fertility in females of reproductive potential while receiving treatment [*see Nonclinical Toxicology (13.1)*].

## **8.4 Pediatric Use**

The safety and effectiveness of TECENTRIQ have not been established in pediatric patients.

## **8.5 Geriatric Use**

Of 2481 patients with urothelial carcinoma, lung cancer, and triple-negative breast cancer who were treated with TECENTRIQ in clinical studies, 45% were 65 years and over and 11% were 75 years and over. No overall differences in safety or effectiveness were observed between patients aged 65 years or older, and younger patients.

## **11 DESCRIPTION**

Atezolizumab is a programmed cell death ligand 1 (PD-L1) blocking antibody. Atezolizumab is an Fc-engineered, humanized, non-glycosylated IgG1 kappa immunoglobulin that has a calculated molecular mass of 145 kDa.

TECENTRIQ (atezolizumab) injection for intravenous use is a sterile, preservative-free, colorless to slightly yellow solution in single-dose vials. Each 20 mL vial contains 1200 mg of

atezolizumab and is formulated in glacial acetic acid (16.5 mg), L-histidine (62 mg), sucrose (821.6 mg), polysorbate 20 (8 mg), pH 5.8.

## **12 CLINICAL PHARMACOLOGY**

### **12.1 Mechanism of Action**

PD-L1 may be expressed on tumor cells and/or tumor infiltrating immune cells and can contribute to the inhibition of the anti-tumor immune response in the tumor microenvironment. Binding of PD-L1 to the PD-1 and B7.1 receptors found on T cells and antigen presenting cells suppresses cytotoxic T-cell activity, T-cell proliferation and cytokine production.

Atezolizumab is a monoclonal antibody that binds to PD-L1 and blocks its interactions with both PD-1 and B7.1 receptors. This releases the PD-L1/PD-1 mediated inhibition of the immune response, including activation of the anti-tumor immune response without inducing antibody-dependent cellular cytotoxicity. In syngeneic mouse tumor models, blocking PD-L1 activity resulted in decreased tumor growth.

### **12.3 Pharmacokinetics**

Patients' exposure to atezolizumab increased dose proportionally over the dose range of 1 mg/kg to 20 mg/kg, including a dose of 1200 mg administered every 3 weeks. The clearance (CV%) was 0.20 L/day (29%), the volume of distribution at steady state was 6.9 L, and the terminal half-life was 27 days. Steady state was achieved after 6 to 9 weeks following multiple doses. The systemic accumulation ratio for every 2 weeks administration and every 3 weeks administration was 3.3- and 1.9- fold, respectively. Atezolizumab clearance was found to decrease over time, with a mean maximal reduction (CV%) from baseline value of approximately 17% (41%); however, the decrease in clearance was not considered clinically relevant.

#### Specific Populations

Age (21 to 89 years), body weight, sex, albumin levels, tumor burden, region or race, mild or moderate renal impairment [estimated glomerular filtration rate (eGFR) 30 to 89 mL/min/1.73 m<sup>2</sup>], mild hepatic impairment (bilirubin  $\leq$  ULN and AST  $>$  ULN or bilirubin  $>$  1 to 1.5  $\times$  ULN and any AST), level of PD-L1 expression, or performance status had no clinically significant effect on the systemic exposure of atezolizumab. In OAK, IMpower150 (TECENTRIQ, bevacizumab, paclitaxel, carboplatin arm only), and IMpassion130 (TECENTRIQ and paclitaxel protein-bound) atezolizumab clearance in patients who tested positive for treatment-emergent anti-drug antibodies (ADA) was 25%, 18%, and 22% higher, respectively, as compared to clearance in patients who tested negative for treatment-emergent ADA.

The effect of severe renal impairment or moderate or severe hepatic impairment on the pharmacokinetics of atezolizumab is unknown.

#### Drug Interaction Studies

The drug interaction potential of atezolizumab is unknown.

## **13 NONCLINICAL TOXICOLOGY**

### **13.1 Carcinogenesis, Mutagenesis, Impairment of Fertility**

No studies have been performed to test the potential of atezolizumab for carcinogenicity or genotoxicity.

Animal fertility studies have not been conducted with atezolizumab; however, an assessment of the male and female reproductive organs was included in a 26-week, repeat-dose toxicity study in cynomolgus monkeys. Weekly administration of atezolizumab to female monkeys at the

highest dose tested caused an irregular menstrual cycle pattern and a lack of newly formed corpora lutea in the ovaries. This effect occurred at an estimated AUC approximately 6 times the AUC in patients receiving the recommended dose and was reversible. There was no effect on the male monkey reproductive organs.

### **13.2 Animal Toxicology and/or Pharmacology**

In animal models, inhibition of PD-L1/PD-1 signaling increased the severity of some infections and enhanced inflammatory responses. *M. tuberculosis*-infected PD-1 knockout mice exhibit markedly decreased survival compared with wild-type controls, which correlated with increased bacterial proliferation and inflammatory responses in these animals. PD-L1 and PD-1 knockout mice and mice receiving PD-L1 blocking antibody have also shown decreased survival following infection with lymphocytic choriomeningitis virus.

## **14 CLINICAL STUDIES**

### **14.1 Urothelial Carcinoma**

#### Cisplatin-Ineligible Patients with Locally Advanced or Metastatic Urothelial Carcinoma

The efficacy of TECENTRIQ was investigated in IMvigor210 (Cohort 1) (NCT02951767), a multicenter, open-label, single-arm trial that included 119 patients with locally advanced or metastatic urothelial carcinoma who were ineligible for cisplatin-containing chemotherapy and were either previously untreated or had disease progression at least 12 months after neoadjuvant or adjuvant chemotherapy. Patients were considered cisplatin-ineligible if they met any one of the following criteria at study entry: impaired renal function [creatinine clearance (CLcr) of 30 to 59 mL/min], Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 2, hearing loss of  $\geq 25$  decibels (dB) at two contiguous frequencies, or Grades 2-4 peripheral neuropathy. This study excluded patients who had: a history of autoimmune disease; active or corticosteroid-dependent brain metastases; administration of a live, attenuated vaccine within 28 days prior to enrollment; or administration of systemic immunostimulatory agents within 6 weeks or systemic immunosuppressive medications within 2 weeks prior to enrollment. Patients received TECENTRIQ 1200 mg as an intravenous infusion every 3 weeks until unacceptable toxicity or disease progression. Tumor response assessments were conducted every 9 weeks for the first 54 weeks and every 12 weeks thereafter. Major efficacy outcome measures included confirmed overall response rate (ORR) as assessed by independent review facility (IRF) using Response Evaluation Criteria in Solid Tumors (RECIST v1.1), duration of response (DoR) and overall survival (OS).

In this study, the median age was 73 years, 81% were male, and 91% were White. Thirty-five percent of patients had non-bladder urothelial carcinoma and 66% had visceral metastases. Eighty percent of patients had an ECOG PS of 0 or 1. Reasons for ineligibility for cisplatin-containing chemotherapy were: 70% had impaired renal function, 20% had an ECOG PS of 2, 14% had a hearing loss of  $\geq 25$ dB, and 6% had Grades 2-4 peripheral neuropathy at baseline. Twenty percent of patients had disease progression following prior platinum-containing neoadjuvant or adjuvant chemotherapy.

Tumor specimens were evaluated prospectively using the VENTANA PD-L1 (SP142) Assay at a central laboratory, and the results were used to define subgroups for pre-specified analyses. Of the 119 patients, 27% were classified as having PD-L1 expression of  $\geq 5\%$  (defined as PD-L1 stained tumor-infiltrating immune cells [IC] covering  $\geq 5\%$  of the tumor area). The remaining 73% of patients were classified as having PD-L1 expression of  $< 5\%$  (PD-L1 stained tumor-infiltrating IC covering  $< 5\%$  of the tumor area).

Among the 32 patients with PD-L1 expression of  $\geq 5\%$ , median age was 67 years, 81% were male, 19% female, and 88% were White. Twenty-eight percent of patients had non-bladder urothelial carcinoma and 56% had visceral metastases. Seventy-two percent of patients had an ECOG PS of 0 or 1. Reasons for ineligibility for cisplatin-containing chemotherapy were: 66% had impaired renal function, 28% had an ECOG PS of 2, 16% had a hearing loss  $\geq 25$  dB, and 9% had Grades 2-4 peripheral neuropathy at baseline. Thirty-one percent of patients had disease progression following prior platinum-containing neoadjuvant or adjuvant chemotherapy.

Confirmed ORR in all patients and the two PD-L1 subgroups are summarized in Table 14. The median follow-up time for this study was 14.4 months. In 24 patients with disease progression following neoadjuvant or adjuvant therapy, the ORR was 33% (95% CI: 16%, 55%).

**Table 14: Efficacy Results in IMvigor210 (Cohort 1)**

	All Patients	PD-L1 Expression Subgroups	
	N = 119	PD-L1 Expression of < 5% in ICs <sup>1</sup> N = 87	PD-L1 Expression of $\geq 5\%$ in ICs <sup>1</sup> N = 32
<b>Number of IRF-assessed Confirmed Responders</b>	28	19	9
<b>ORR % (95% CI)</b>	23.5% (16.2, 32.2)	21.8% (13.7, 32)	28.1% (13.8, 46.8)
Complete Response (CR) (%)	6.7%	6.9%	6.3%
Partial Response (PR) (%)	16.8%	14.9%	21.9%
<b>Median DoR, months (range)</b>	NR (3.7, 16.6+)	NR (3.7, 16.6+)	NR (8.1, 15.6+)
NR = Not reached + Denotes a censored value <sup>1</sup> PD-L1 expression in tumor-infiltrating immune cells (ICs)			

IMvigor130 (NCT02807636) is an ongoing multicenter, randomized study in previously untreated patients with metastatic urothelial carcinoma who are eligible for platinum-containing chemotherapy. The study contains three arms: TECENTRIQ monotherapy, TECENTRIQ with platinum-based chemotherapy (i.e., cisplatin or carboplatin with gemcitabine), and platinum-based chemotherapy alone (comparator). Both cisplatin-eligible and cisplatin-ineligible patients are included in the study. Tumor specimens were evaluated prospectively using the VENTANA PD-L1 (SP142) Assay at a central laboratory. The independent Data Monitoring Committee (iDMC) for the study conducted a review of early data and found that patients classified as having PD-L1 expression of <5% when treated with TECENTRIQ monotherapy had decreased survival compared to those who received platinum-based chemotherapy. The iDMC recommended closure of the monotherapy arm to further accrual of patients with low PD-L1 expression, however, no other changes were recommended for the study, including any change of therapy for patients who had already been randomized to and were receiving treatment in the monotherapy arm.

#### Previously Treated Locally Advanced or Metastatic Urothelial Carcinoma

The efficacy of TECENTRIQ was investigated in IMvigor210 (Cohort 2) (NCT02108652), a multicenter, open-label, single-arm trial that included 310 patients with locally advanced or metastatic urothelial carcinoma who had disease progression during or following a platinum-

containing chemotherapy regimen or who had disease progression within 12 months of treatment with a platinum-containing neoadjuvant or adjuvant chemotherapy regimen. This study excluded patients who had: a history of autoimmune disease, active or corticosteroid-dependent brain metastases, administration of a live, attenuated vaccine within 28 days prior to enrollment, or administration of systemic immunostimulatory agents within 6 weeks or systemic immunosuppressive medications within 2 weeks prior to enrollment. Patients received TECENTRIQ 1200 mg intravenously every 3 weeks until unacceptable toxicity or either radiographic or clinical progression. Tumor response assessments were conducted every 9 weeks for the first 54 weeks and every 12 weeks thereafter. Major efficacy outcome measures included confirmed ORR as assessed by IRF using RECIST v1.1 and DoR.

In this study, the median age was 66 years, 78% were male, 91% of patients were White. Twenty-six percent had non-bladder urothelial carcinoma and 78% of patients had visceral metastases. Sixty-two percent of patients had an ECOG PS of 1 and 35% of patients had a baseline CLcr < 60 mL/min. Nineteen percent of patients had disease progression following prior platinum-containing neoadjuvant or adjuvant chemotherapy. Forty-one percent of patients had received 2 or more prior systemic regimens in the metastatic setting. Seventy-three percent of patients received prior cisplatin, 26% had prior carboplatin, and 1% were treated with other platinum-based regimens.

Tumor specimens were evaluated prospectively using the VENTANA PD-L1 (SP142) Assay at a central laboratory and the results were used to define subgroups for pre-specified analyses. Of the 310 patients, 32% were classified as having PD-L1 expression of  $\geq 5\%$ . The remaining 68% of patients were classified as having PD-L1 expression of < 5%.

Confirmed ORR and median DOR in all patients and the two PD-L1 subgroups are summarized in Table 15. The median follow-up time for this study was 32.9 months. In 59 patients with disease progression following neoadjuvant or adjuvant therapy, the ORR was 22.0% (95% CI: 12.3%, 34.7%).

**Table 15: Efficacy Results in IMvigor210 (Cohort 2)**

	All Patients	PD-L1 Expression Subgroups	
	N = 310	PD-L1 Expression of < 5% in IC <sup>1</sup> N = 210	PD-L1 Expression of $\geq 5\%$ in IC <sup>1</sup> N = 100
<b>Number of IRF-assessed Confirmed Responders</b>	46	20	26
<b>ORR % (95% CI)</b>	14.8% (11.2, 19.3)	9.5% (5.9, 14.3)	26% (17.7, 35.7)
Complete Response (CR) (%)	5.5%	2.4%	12.0%
Partial Response (PR) (%)	9.4%	7.1%	14.0%
<b>Median DOR, months (range)</b>	27.7 (2.1+, 33.4+)	20.9 (2.1+, 33.4+)	29.7 (4.2, 31.2+)
+ Denotes a censored value			
<sup>1</sup> PD-L1 expression in tumor-infiltrating immune cells (IC)			

## 14.2 Non-Small Cell Lung Cancer

### Metastatic Chemotherapy-Naive Non-Squamous NSCLC

The efficacy of TECENTRIQ with bevacizumab, paclitaxel, and carboplatin was evaluated in IMpower150 (NCT02366143), a multicenter, international, randomized (1:1:1), open-label trial in 1202 patients with metastatic non-squamous NSCLC. IMpower150 enrolled patients with stage IV non-squamous NSCLC who had received no prior chemotherapy for metastatic disease, but could have received prior EGFR or ALK kinase inhibitor if appropriate, regardless of PD-L1 or T-effector gene (tGE) status and ECOG performance status 0 or 1. The trial excluded patients with a history of autoimmune disease, administration of a live attenuated vaccine within 28 days prior to randomization, active or untreated CNS metastases, administration of systemic immunostimulatory agents within 4 weeks or systemic immunosuppressive medications within 2 weeks prior to randomization, or clear tumor infiltration into the thoracic great vessels or clear cavitation of pulmonary lesions as seen on imaging.

Randomization was stratified by sex, presence of liver metastases, and PD-L1 expression status on tumor cells (TC) and tumor-infiltrating immune cells (IC) as follows: TC3 and any IC vs. TC0/1/2 and IC2/3 vs. TC0/1/2 and IC0/1. Patients were randomized to one of the following three treatment arms.

- Arm A: TECENTRIQ 1200 mg, paclitaxel 175 mg/m<sup>2</sup> or 200 mg/m<sup>2</sup> and carboplatin AUC 6 mg/mL/min on Day 1 of each 21-day cycle for a maximum of 4 or 6 cycles
- Arm B: TECENTRIQ 1200 mg, bevacizumab 15 mg/kg, paclitaxel 175 mg/m<sup>2</sup> or 200 mg/m<sup>2</sup>, and carboplatin AUC 6 mg/mL/min on Day 1 of each 21-day cycle for a maximum of 4 or 6 cycles
- Arm C: bevacizumab 15 mg/kg, paclitaxel 175 mg/m<sup>2</sup> or 200 mg/m<sup>2</sup>, and carboplatin AUC 6 mg/mL/min on Day 1 of each 21-day cycle for a maximum of 4 or 6 cycles

Patients who had not experienced disease progression following the completion or cessation of platinum-based chemotherapy, received:

- Arm A: TECENTRIQ 1200 mg intravenously on Day 1 of each 21-day cycle until disease progression or unacceptable toxicity
- Arm B: TECENTRIQ 1200 mg and bevacizumab 15 mg/kg intravenously on Day 1 of each 21-day cycle until disease progression or unacceptable toxicity
- Arm C: bevacizumab 15 mg/kg intravenously on Day 1 of each 21-day cycle until disease progression or unacceptable toxicity

Tumor assessments were conducted every 6 weeks for the first 48 weeks following Cycle 1, Day 1 and then every 9 weeks thereafter. Tumor specimens were evaluated prior to randomization for PD-L1 tumor expression using the VENTANA PD-L1 (SP142) assay at a central laboratory. Tumor tissue was collected at baseline for expression of tGE signature and evaluation was performed using a clinical trial assay in a central laboratory prior to the analysis of efficacy outcome measures.

The major efficacy outcome measures for comparison of Arms B and C were progression free survival (PFS) by RECIST v1.1 in the tGE-WT (patients with high expression of T-effector gene signature [tGE], excluding those with EGFR- and ALK-positive NSCLC [WT]) and in the ITT-WT subpopulations and overall survival (OS) in the ITT-WT subpopulation. Additional efficacy outcome measures for comparison of Arms B and C or Arms A and C were PFS and OS in the ITT population, OS in the tGE-WT subpopulation, and ORR/DoR in the tGE-WT and ITT-WT subpopulations.

A total of 1202 patients were enrolled across the three arms of whom 1045 were in the ITT-WT subpopulation and 447 were in the tGE-WT subpopulation. The demographic information is limited to the 800 patients enrolled in Arms B and C where efficacy has been demonstrated. The median age was 63 years (range: 31 to 90), and 60% of patients were male. The majority of patients were White (82%), 13% of patients were Asian, 10% were Hispanic, and 2% of patients were Black. Clinical sites in Asia (enrolling 13% of the study population) received paclitaxel at a dose of 175 mg/m<sup>2</sup> while the remaining 87% received paclitaxel at a dose of 200 mg/m<sup>2</sup>. Approximately 14% of patients had liver metastases at baseline, and most patients were current or previous smokers (80%). Baseline ECOG performance status was 0 (43%) or 1 (57%). PD-L1 was TC3 and any IC in 12%, TC0/1/2 and IC2/3 in 13%, and TC0/1/2 and IC0/1 in 75%. The demographics for the 696 patients in the ITT-WT subpopulation were similar to the ITT population except for the absence of patients with EGFR- or ALK-positive NSCLC.

The trial demonstrated a statistically significant improvement in PFS between Arms B and C in both the tGE-WT and ITT-WT subpopulations, but did not demonstrate a significant difference for either subpopulation between Arms A and C based on the final PFS analyses. In the interim analysis of OS, a statistically significant improvement was observed for Arm B compared to Arm C, but not for Arm A compared to Arm C. Efficacy results for the ITT-WT subpopulation are presented in Table 16 and Figure 1.

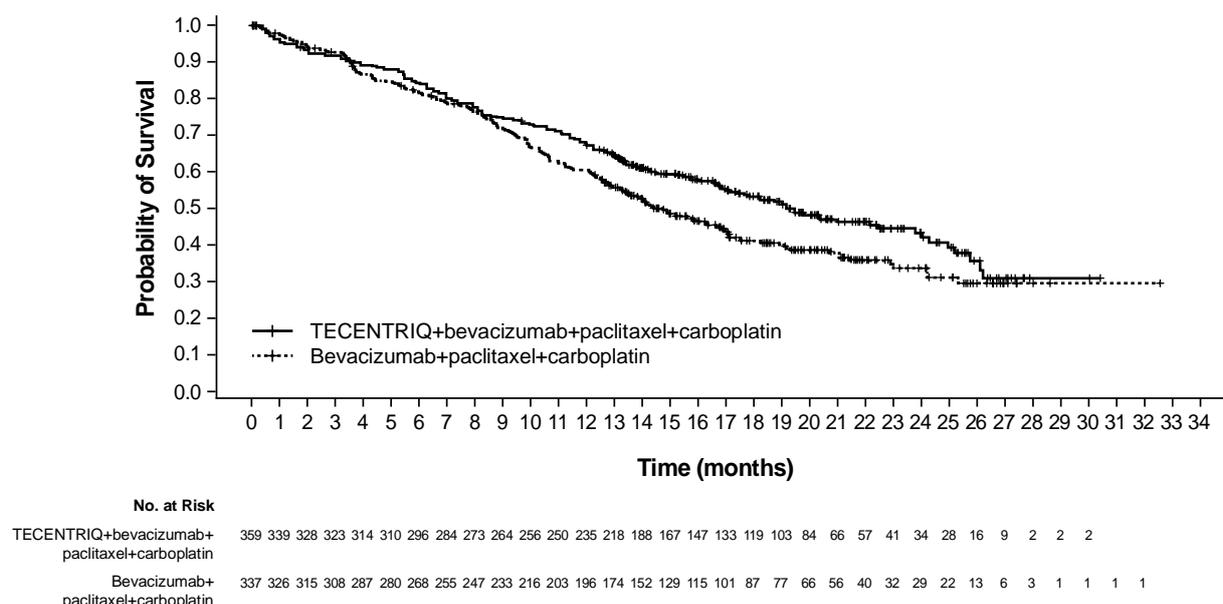
**Table 16: Efficacy Results in ITT-WT Population in IMpower150**

	<b>Arm C: Bevacizumab, Paclitaxel and Carboplatin</b> N = 337	<b>Arm B: TECENTRIQ with Bevacizumab, Paclitaxel, and Carboplatin</b> N = 359	<b>Arm A: TECENTRIQ with Paclitaxel, and Carboplatin</b> N = 349
<b>Overall Survival<sup>1</sup></b>			
Deaths (%)	197 (59%)	179 (50%)	179 (51%)
Median, months	14.7	19.2	19.4
(95% CI)	(13.3, 16.9)	(17.0, 23.8)	(15.7, 21.3)
Hazard ratio <sup>2</sup> (95% CI)	---	0.78 (0.64, 0.96)	0.84 (0.72, 1.08)
p-value <sup>3</sup>	---	0.016 <sup>4</sup>	0.204 <sup>5</sup>
<b>Progression-Free Survival<sup>6</sup></b>			
Number of events (%)	247 (73%)	247 (69%)	245 (70%)
Median, months	7.0	8.5	6.7
(95% CI)	(6.3, 7.9)	(7.3, 9.7)	(5.6, 6.9)
Hazard ratio <sup>2</sup> (95% CI)	---	0.71 (0.59, 0.85)	0.94 (0.79, 1.13)
p-value <sup>3</sup>	---	0.0002 <sup>7</sup>	0.5219
<b>Objective Response Rate<sup>6</sup></b>			
Number of responders (%)	142 (42%)	196 (55%)	150 (43%)
(95% CI)	(37, 48)	(49, 60)	(38, 48)
Complete response	3 (1%)	14 (4%)	9 (3%)
Partial response	139 (41%)	182 (51%)	141 (40%)
<b>Duration of Response<sup>6</sup></b>	n = 142	n = 196	n = 150
Median (months)	6.5	10.8	9.5
(95% CI)	(5.6, 7.6)	(8.4, 13.9)	(7.0, 13.0)

<sup>1</sup>Based on OS interim analysis .

	Arm C: Bevacizumab, Paclitaxel and Carboplatin N = 337	Arm B: TECENTRIQ with Bevacizumab, Paclitaxel, and Carboplatin N = 359	Arm A: TECENTRIQ with Paclitaxel, and Carboplatin N = 349
<sup>2</sup> Stratified by sex, presence of liver metastases, and PD-L1 expression status on TC and IC <sup>3</sup> Based on the stratified log-rank test compared to Arm C <sup>4</sup> Compared to the allocated $\alpha=0.0174$ (two sided) for this interim analysis. <sup>5</sup> Compared to the allocated $\alpha=0.0128$ (two sided) for this interim analysis. <sup>6</sup> As determined by independent review facility (IRF) per RECIST v1.1 (Response Evaluation Criteria in Solid Tumors v1.1) <sup>7</sup> Compared to the allocated $\alpha=0.006$ (two sided) for the final PFS analysis. CI=confidence interval			

**Figure 1: Kaplan-Meier Curves for Overall Survival in ITT-WT Population in IMpower150**



Exploratory analyses showed that the subset of patients in the four drug regimen arm who were ADA positive by week 4 (30%) appeared to have similar efficacy (effect on overall survival) as compared to patients who tested negative for treatment-emergent ADA by week 4 (70%) [see *Adverse Reactions (6.2), Clinical Pharmacology (12.3)*]. In an exploratory analysis, propensity score matching was conducted to compare ADA positive patients in the TECENTRIQ, bevacizumab, paclitaxel, and carboplatin arm with a matched population in the bevacizumab, paclitaxel, and carboplatin arm. Similarly ADA negative patients in the TECENTRIQ, bevacizumab, paclitaxel, and carboplatin arm were compared with a matched population in the bevacizumab, paclitaxel, and carboplatin arm. Propensity score matching factors were: baseline sum of longest tumor size (BSLD), baseline ECOG, baseline albumin, baseline LDH, sex, tobacco history, metastatic site, TC level, and IC level. The hazard ratio comparing the ADA-positive subgroup with its matched control was 0.69 (95% CI: 0.44, 1.07). The hazard ratio comparing the ADA-negative subgroup with its matched control was 0.64 (95% CI: 0.46, 0.90).

#### Previously Treated Metastatic NSCLC

The efficacy of TECENTRIQ was evaluated in a multicenter, international, randomized (1:1), open-label study (OAK; NCT02008227) conducted in patients with locally advanced or metastatic NSCLC whose disease progressed during or following a platinum-containing regimen. Patients with a history of autoimmune disease, symptomatic or corticosteroid-dependent brain

metastases, or requiring systemic immunosuppression within 2 weeks prior to enrollment were ineligible. Randomization was stratified by PD-L1 expression tumor-infiltrating immune cells (IC), the number of prior chemotherapy regimens (1 vs. 2), and histology (squamous vs. non-squamous).

Patients were randomized to receive TECENTRIQ 1200 mg intravenously every 3 weeks until unacceptable toxicity, radiographic progression, or clinical progression or docetaxel 75 mg/m<sup>2</sup> intravenously every 3 weeks until unacceptable toxicity or disease progression. Tumor assessments were conducted every 6 weeks for the first 36 weeks and every 9 weeks thereafter. The major efficacy outcome measure was overall survival (OS) in the first 850 randomized patients and OS in the subgroup of patients with PD-L1-expressing tumors (defined as  $\geq 1\%$  PD-L1 expression on tumor cells [TC] or immune cells [IC]). Additional efficacy outcome measures were OS in all randomized patients (n = 1225), OS in subgroups based on PD-L1 expression, overall response rate (ORR), and progression free survival as assessed by the investigator per RECIST v.1.1.1.

Among the first 850 randomized patients, the median age was 64 years (33 to 85 years) and 47% were  $\geq 65$  years old; 61% were male; 70% were White and 21% were Asian; 15% were current smokers and 67% were former smokers; and 37% had baseline ECOG PS of 0 and 63% had a baseline ECOG PS of 1. Nearly all (94%) had metastatic disease, 74% had non-squamous histology, 75% had received only one prior platinum-based chemotherapy regimen, and 55% of patients had PD-L1-expressing tumors.

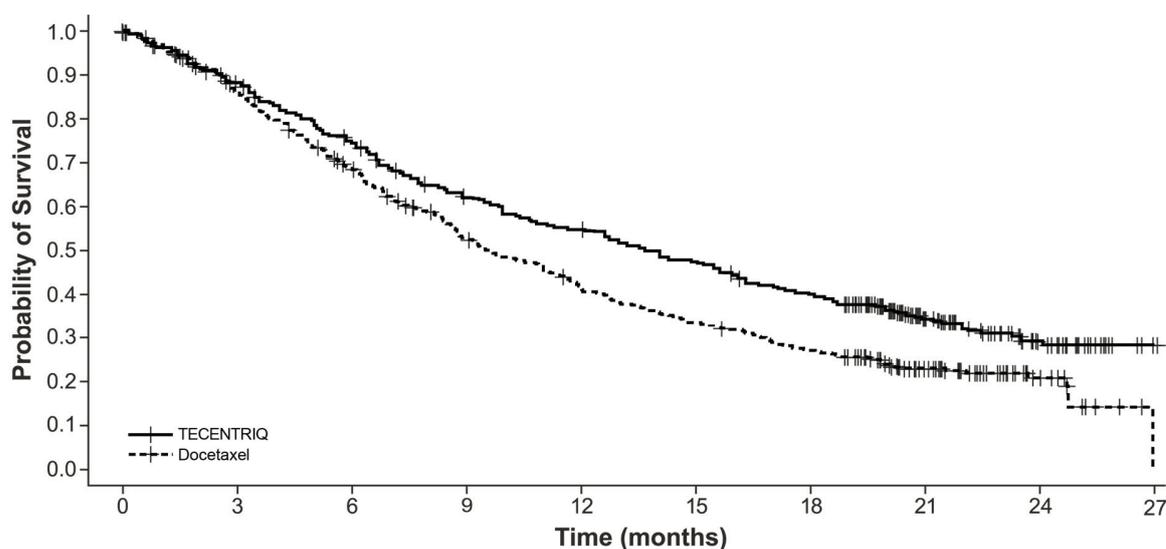
Efficacy results are presented in Table 17 and Figure 2.

**Table 17: Efficacy Results in OAK**

	<b>TECENTRIQ</b>	<b>Docetaxel</b>
<b>Overall Survival in first 850 patients</b>		
Number of patients	N=425	N=425
Deaths (%)	271 (64%)	298 (70%)
Median, months	13.8	9.6
(95% CI)	(11.8, 15.7)	(8.6, 11.2)
Hazard ratio <sup>1</sup> (95% CI)	0.74 (0.63, 0.87)	
p-value <sup>2</sup>	0.0004 <sup>3</sup>	
<b>Progression-Free Survival</b>		
Number of Patients	N=425	N=425
Events (%)	380 (89%)	375 (88%)
Progression (%)	332 (78%)	290 (68%)
Deaths (%)	48 (11%)	85 (20%)
Median, months	2.8	4.0
(95% CI)	(2.6, 3.0)	(3.3, 4.2)
Hazard ratio <sup>1</sup> (95% CI)	0.95 (0.82, 1.10)	
<b>Overall Response Rate <sup>4</sup></b>		
Number of Patients	N=425	N=425
ORR, n (%)	58 (14%)	57 (13%)
(95% CI)	(11%, 17%)	(10%, 17%)
Complete response	6 (1%)	1 (0.2%)

	<b>TECENTRIQ</b>	<b>Docetaxel</b>
Partial response	52 (12%)	56 (13%)
<b>Duration of Response<sup>3</sup></b>	N=58	N=57
Median (months)	16.3	6.2
(95% CI)	(10.0, NE)	(4.9, 7.6)
<b>Overall Survival in all 1225 patients</b>		
Number of patients	N=613	N=612
Deaths (%)	384 (63%)	409 (67%)
Median, months	13.3	9.8
(95% CI)	(11.3, 14.9)	(8.9, 11.3)
Hazard ratio <sup>1</sup> (95% CI)	0.79 (0.69, 0.91)	
p-value <sup>2</sup>	0.0013 <sup>5</sup>	
<sup>1</sup> Stratified by PD-L1 expression in tumor infiltrating immune cells, the number of prior chemotherapy regimens, and histology <sup>2</sup> Based on the stratified log-rank test <sup>3</sup> Compared to the pre-specified allocated $\alpha$ of 0.03 for this analysis <sup>4</sup> Per RECIST v1.1 (Response Evaluation Criteria in Solid Tumors v1.1) <sup>5</sup> Compared to the allocated $\alpha$ of 0.0177 for this interim analysis based on 86% information using O'Brien-Fleming boundary CI=confidence interval; NE=not estimable		

**Figure 2: Kaplan-Meier Curves of Overall Survival in the First 850 Patients Randomized in OAK**



No. Patients at Risk	0	3	6	9	12	15	18	21	24	27																		
TECENTRIQ	425	407	382	363	342	326	305	279	260	248	234	223	218	205	198	188	175	163	157	141	116	74	54	41	28	15	4	1
Docetaxel	425	390	365	336	311	286	263	236	219	195	179	168	151	140	132	123	116	104	98	90	70	51	37	28	16	6	3	

Tumor specimens were evaluated prospectively using the VENTANA PD-L1 (SP142) Assay at a central laboratory and the results were used to define the PD-L1 expression subgroups for pre-specified analyses. Of the 850 patients, 16% were classified as having high PD-L1 expression, defined as having PD-L1 expression on  $\geq 50\%$  of TC or  $\geq 10\%$  of IC. In an exploratory efficacy subgroup analysis of OS based on PD-L1 expression, the hazard ratio was 0.41 (95% CI: 0.27, 0.64) in the high PD-L1 expression subgroup and 0.82 (95% CI: 0.68, 0.98) in patients who did not have high PD-L1 expression.

Exploratory analyses showed that the subset of patients who were ADA positive by week 4 (21%) appeared to have less efficacy (effect on overall survival) as compared to patients who tested negative for treatment-emergent ADA by week 4 (79%) [*see Adverse Reactions (6.2), Clinical Pharmacology (12.3)*]. ADA positive patients by week 4 appeared to have similar OS compared to docetaxel-treated patients. In an exploratory analysis, propensity score matching was conducted to compare ADA positive patients in the atezolizumab arm with a matched population in the docetaxel arm and ADA negative patients in the atezolizumab arm with a matched population in the docetaxel arm. Propensity score matching factors were: baseline sum of longest tumor size (BSLD), baseline ECOG, histology (squamous vs. non-squamous), baseline albumin, baseline LDH, gender, tobacco history, metastases status (advanced or local), metastatic site, TC level, and IC level. The hazard ratio comparing the ADA positive subgroup with its matched control was 0.89 (95% CI: 0.61, 1.3). The hazard ratio comparing the ADA negative subgroup with its matched control was 0.68 (95% CI: 0.55, 0.83).

### **14.3 Locally Advanced or Metastatic Triple-Negative Breast Cancer**

The efficacy of TECENTRIQ in combination with paclitaxel protein-bound was investigated in IMpassion130 (NCT02425891), a multicenter, international, double-blinded, placebo-controlled, randomized trial that included 902 unresectable locally advanced or metastatic triple-negative breast cancer patients that had not received prior chemotherapy for metastatic disease. Patients were stratified by presence of liver metastases, prior taxane treatment, and by PD-L1 expression status in tumor infiltrating immune cells (IC) (PD-L1 stained tumor-infiltrating immune cells [IC] <1% of tumor area vs.  $\geq$  1% of the tumor area) by the VENTANA PD-L1 (SP142) Assay. Of the 902 patients in the intent to treat population (ITT), 41% (369 patients) were classified as PD-L1 expression  $\geq$  1%. Patients were randomized (1:1) to receive either TECENTRIQ (840 mg) or placebo intravenous infusions on Days 1 and 15 of every 28-day cycle, plus paclitaxel protein-bound (100 mg/m<sup>2</sup>) administered via intravenous infusion on Days 1, 8 and 15 of every 28-day cycle. Patients received treatment until radiographic disease progression per RECIST v1.1, or unacceptable toxicity.

Patients were excluded if they had a history of autoimmune disease, administration of a live attenuated vaccine within 4 weeks prior to randomization, administration of systemic immunostimulatory agents within 4 weeks or systemic immunosuppressive medications within 2 weeks prior to randomization; or untreated or corticosteroid-dependent brain metastases. Tumor assessments were performed every 8 weeks ( $\pm$  1 week) for the first 12 months after Cycle 1, day 1 and every 12 weeks ( $\pm$  1 week) thereafter.

In IMpassion130, the median age was 55 years (range: 20-86). Overall, most patients were women (99.6%) and the majority of patients were white (68%), Asian (18%), Black or African American (7%), and American Indian or Alaskan Native (4.4%). The demographic and baseline disease characteristics of the study population were well balanced between the treatment arms. Baseline ECOG performance status was 0 (58%) or 1 (41%). Overall, 41% of enrolled patients had PD-L1 expression  $\geq$  1%, 27% had liver metastases and 7% brain metastases at baseline. Approximately half the patients had received a taxane (51%) or anthracycline (54%) in the (neo)adjuvant setting. Patient demographics and baseline tumor disease in the PD-L1 expressing population were generally representative of the broader study population.

Tumor specimens (archival or fresh) were evaluated prospectively using the VENTANA PD-L1 (SP142) Assay at a central laboratory and the results were used as a stratification factor for randomization and to define the PD-L1 expression subgroups for pre-specified analyses.

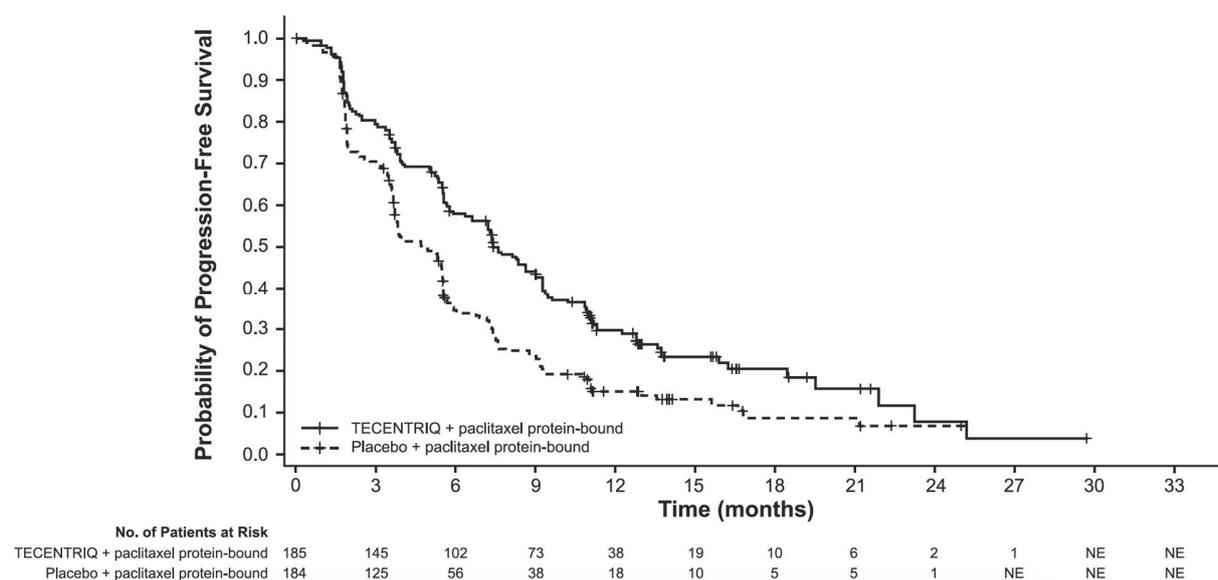
The major efficacy outcomes were investigator-assessed progression free survival (PFS) in the ITT and PD-L1 expressing patient population per RECIST v1.1 and overall survival (OS) in the ITT population. Overall survival data were immature with 43% deaths in the ITT population. The

efficacy results of IMpassion130 for the patient population with PD-L1 expression  $\geq 1\%$  are presented in Table 18 and Figure 3.

**Table 18: Efficacy Results from IMpassion130 in Patients with PD-L1 Expression  $\geq 1\%$**

	PD-L1 Expression $\geq 1\%$ <sup>1</sup>	
	TECENTRIQ in combination with paclitaxel protein-bound	Placebo in combination with paclitaxel protein-bound
<b>Progression-Free Survival</b> <sup>2,3</sup>	(n=185)	(n=184)
Events (%)	136 (74)	151 (82)
Median, months	7.4 (6.6, 9.2)	4.8 (3.8, 5.5)
Stratified Hazard ratio (95% CI) <sup>4</sup>	0.60 (0.48, 0.77)	
p-value	<0.0001	
<b>Objective Response Rate</b> <sup>2,3,5,6</sup>	n=185	n=183
Number of responders (%)	98 (53)	60 (33)
(95% CI)	(45.5, 60.3)	(26.0, 40.1)
Complete response (%)	17 (9)	1 (<1)
Partial response (%)	81 (44)	59 (32)
<b>Duration of Response</b> <sup>2,3,6</sup>	n=98	n=60
Median (months)	9.2	6.2
(95% CI)	(7.5, 11.9)	(5.5, 8.8)
<sup>1</sup> PD-L1 expression in tumor-infiltrating immune cells (IC) <sup>2</sup> As determined by investigator assessment <sup>3</sup> per RECIST v1.1 (Response Evaluation Criteria in Solid Tumors v1.1) <sup>4</sup> Stratified by presence of liver metastases, and by prior taxane treatment <sup>5</sup> patients with measurable disease at baseline <sup>6</sup> confirmed responses PFS=Progression-Free Survival; CI=Confidence Interval; ORR=Objective Response Rate; DOR=Duration of Response; NE=Not Estimable		

**Figure 3: Kaplan-Meier Plot of Progression-Free-Survival in IMpassion130 in Patients with PD-L1 Expression  $\geq 1\%$**



#### 14.4 Small Cell Lung Cancer

The efficacy of TECENTRIQ with carboplatin and etoposide was investigated in IMpower133 (NCT02763579), a randomized (1:1), multicenter, double-blind, placebo-controlled trial in 403 patients with ES-SCLC. IMpower133 enrolled patients with ES-SCLC who had received no prior chemotherapy for extensive stage disease and ECOG performance status 0 or 1. The trial excluded patients with active or untreated CNS metastases, history of autoimmune disease, administration of a live, attenuated vaccine within 4 weeks prior to randomization, or administration of systemic immunosuppressive medications within 1 week prior to randomization.

Randomization was stratified by sex, ECOG performance status, and presence of brain metastases. Patients were randomized to receive one of the following two treatment arms:

- TECENTRIQ 1200 mg and carboplatin AUC 5 mg/mL/min on Day 1 and etoposide 100 mg/m<sup>2</sup> intravenously on Days 1, 2 and 3 of each 21-day cycle for a maximum of 4 cycles followed by TECENTRIQ 1200 mg once every 3 weeks until disease progression or unacceptable toxicity, or
- placebo and carboplatin AUC 5 mg/mL/min on Day 1 and etoposide 100 mg/m<sup>2</sup> intravenously on Days 1, 2, and 3 of each 21-day cycle for a maximum of 4 cycles followed by placebo once every 3 weeks until disease progression or unacceptable toxicity.

Administration of TECENTRIQ was permitted beyond RECIST-defined disease progression. Tumor assessments were conducted every 6 weeks for the first 48 weeks following Cycle 1, Day 1 and then every 9 weeks thereafter. Patients treated beyond disease progression had tumor assessment conducted every 6 weeks until treatment discontinuation.

Major efficacy outcome measures were OS and PFS as assessed by investigator per RECIST v1.1 in the intent-to-treat population. Additional efficacy outcome measures included ORR and DoR as assessed by investigator per RECIST v1.1.

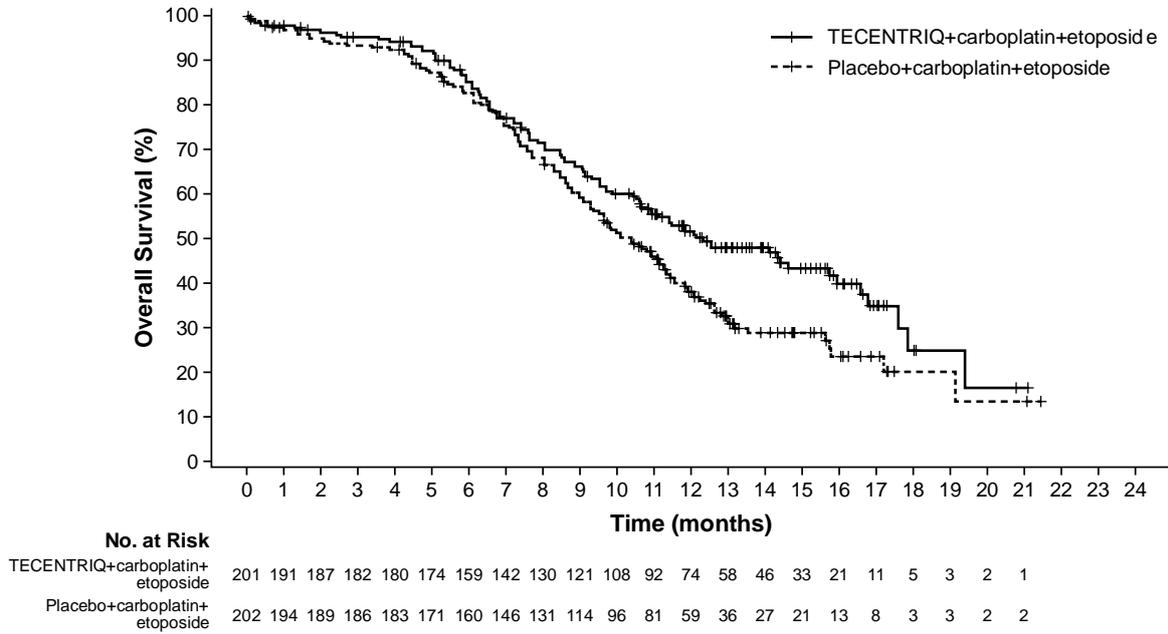
A total of 403 patients were randomized, including 201 to the TECENTRIQ arm and 202 to the chemotherapy alone arm. The median age was 64 years (range 26 to 90) and 65% were male. The majority of patients were White (80%); 17% were Asian, 4% were Hispanic and 1% were Black. Baseline ECOG performance status was 0 (35%) or 1 (65%); 9% of patients had a history of brain metastases, and 97% were current or previous smokers.

Efficacy results are presented in Table 19 and Figure 4.

**Table 19: Efficacy Results from IMpower133**

	<b>TECENTRIQ with Carboplatin and Etoposide</b>	<b>Placebo with Carboplatin and Etoposide</b>
<b>Overall Survival</b>	N=201	N=202
Deaths (%)	104 (52%)	134 (66%)
Median, months	12.3	10.3
(95% CI)	(10.8, 15.9)	(9.3, 11.3)
Hazard ratio <sup>3</sup> (95% CI)	0.70 (0.54, 0.91)	
p-value <sup>4, 5</sup>	0.0069	
<b>Progression-Free Survival<sup>1,2</sup></b>	N=201	N=202
Number of events (%)	171 (85%)	189 (94%)
Median, months	5.2	4.3
(95% CI)	(4.4, 5.6)	(4.2, 4.5)
Hazard ratio <sup>3</sup> (95% CI)	0.77 (0.62, 0.96)	
p-value <sup>4, 6</sup>	0.0170	
<b>Objective Response Rate<sup>1,2,7</sup></b>	N=201	N=202
Number of responders (%)	121 (60%)	130 (64%)
(95% CI)	(53, 67)	(57, 71)
Complete response	5 (2%)	2 (1%)
Partial response	116 (58%)	128 (63%)
<b>Duration of Response<sup>1,2,7</sup></b>	N=121	N=130
Median (months)	4.2	3.9
(95% CI)	(4.1, 4.5)	(3.1, 4.2)
<sup>1</sup> As determined by investigator assessment <sup>2</sup> per RECIST v1.1 (Response Evaluation Criteria in Solid Tumors v1.1) <sup>3</sup> Stratified by sex and ECOG performance status <sup>4</sup> Based on the stratified log-rank test <sup>5</sup> Compared to the allocated $\alpha$ of 0.0193 for this interim analysis based on 78% information using O'Brien-Fleming boundary <sup>6</sup> Compared to the allocated $\alpha$ of 0.05 for this analysis. <sup>7</sup> Confirmed response CI=confidence interval		

**Figure 4: Kaplan-Meier Plot of Overall Survival in IMpower133**



**16 HOW SUPPLIED/STORAGE AND HANDLING**

TECENTRIQ injection is a sterile, preservative-free, and colorless to slightly yellow solution for intravenous infusion supplied as a carton containing one 1200 mg/20 mL single-dose vial

Store vials in a refrigerator at 2°C to 8°C in the outer carton in order to protect from light. Do not freeze. Do not shake.

Shelf-life

The expiry date of the product is indicated on the packaging materials.

**17 MARKETING AUTHORISATION HOLDER**

Roche Pharmaceuticals (Israel) Ltd. P.O.B. 6391, Hod Hasharon, 4524079.

**18 MARKETING AUTHORISATION NUMBER(S):**

158.66.34982.00

**19 MANUFACTURER**

Hoffmann-La Roche ltd, Basel, Switzerland